

1 **Quasi resonance in a leaky waveguide?**

2 Nili Harnik,<sup>a</sup> Volkmar Wirth,<sup>b</sup>

3 <sup>a</sup> *Porter School of the Environment and Earth Sciences, Tel Aviv University, Israel*

4 <sup>b</sup> *Institute for Atmospheric Physics, Johannes Gutenberg-University Mainz, Germany*

5 *Corresponding author: Nili Harnik, harnik@tauex.tau.ac.il*

6 ABSTRACT: Resonant amplification has been suggested to cause the occasional large amplitude  
7 undulations in the jet streams, central to several impactful phenomena like blocking, sudden  
8 stratospheric warmings and extreme weather. One of the models used for establishing the role  
9 of resonant amplification is a barotropic  $\beta$ -plane model with a constant zonal mean flow. In  
10 this work we examine how a specified amount of meridional leakage of wave activity to the  
11 subtropics affects the quasi-resonant response to a meridionally localized, pure zonal wavenumber  
12 forcing. The main novelty of our analysis is the derivation of an analytical solution, with which  
13 we quantitatively examine the effects of a specified amount of waveguide-leakage, and compare  
14 it to the effects of damping. We further examine the effects of leakage on the resonance of a  
15 meridionally-concentrated jet, through numerical simulations that include a carefully-constructed  
16 sponge layer to the south of the jet waveguide. We find that that meridional leakage weakens the  
17 resonant response, similar to what damping does, namely, the amplification and zonal-phase change  
18 across resonance are weaker. Leakage also affects the horizontal wave structure equatorwards of  
19 the forcing, by introducing a westward phase tilt towards the subtropics. Damping, on the other  
20 hand makes the amplitude of the wave decrease away from the forcing on both its side with only a  
21 minor phase tilt. Overall, it is concluded that even quite a large wave leakage towards the equator  
22 does not necessarily preclude the possibility of quasi-resonant amplification, but earlier estimates  
23 which ignore this leakage by assuming two fully-reflecting turning latitudes overestimate the effect  
24 by a considerable margin.

25 SIGNIFICANCE STATEMENT: One of the main causes of extreme temperatures and extreme  
26 weather is a strong meandering of the jet stream, with the undulations remaining roughly fixed in  
27 space, allowing the extreme conditions to develop. One of the mechanisms that has been suggested  
28 for these undulations is quasi-resonance of stationary Rossby waves which propagate along the  
29 jet-stream waveguide. A main assumption in these studies is that the jet is a perfect waveguide,  
30 however, it is well known that Rossby waves on a sphere tend to propagate towards the equator,  
31 making the wave-guide leaky. In this study we examine how leaking of waves towards the tropics  
32 affects quasi-resonance, and whether it still allows large undulations like those leading to extreme  
33 events to form.

## 34 **1. Introduction**

35 Resonant amplification has been suggested as an explanation for the occasional appearance  
36 of large amplitude undulations in the jet streams ever since Rossby and collaborators (1939)  
37 formulated the equations for Rossby waves (Haurwitz 1940). For example, resonance has been  
38 suggested to play a role in the occurrence of blocking (e.g Tung and Lindzen 1979a,b; Tung 1979),  
39 sudden stratospheric warmings (e.g. Plumb 1981; Tung and Lindzen 1979a,b; Tung 1979; Esler  
40 et al. 2006), multiple flow regimes and corresponding low frequency variability (e.g Charney and  
41 DeVore 1979; Källén 1997; Jin and Ghil 1990; Luo 1997), and extreme weather (e.g Petoukhov  
42 et al. 2013; Coumou et al. 2014; Petoukhov et al. 2016; Stadtherr et al. 2016; Kornhuber et al.  
43 2017a,b; Mann et al. 2017, 2018; Kornhuber et al. 2019).

44 To strictly establish that resonant amplification occurs in the atmosphere, we need to demonstrate  
45 that by varying an external parameter of the mean flow or forcing, we get sharp amplification of the  
46 forced wave due to its getting closer to being a normal mode, with a sharp  $\pi$ -phase change across  
47 the resonance. This is straight-forward using the barotropic and shallow-water beta-plane channel  
48 models introduced by Rossby and collaborators (1939); Rossby (1940). However, though able to  
49 account quite well for many of the features of the observed upper level flow, these models are too  
50 simplified to establish the role of resonance in realistic flows. First, the exact "tuning" needed for  
51 resonance is not as easy to form in a latitude-height varying zonal mean flow on a sphere, with  
52 possible critical layers, equatorwards wave refraction, vertical propagation into the stratosphere,

53 and various forms of damping. Second, the exact tuning of the mean flow into a resonant state will  
54 necessarily be ruined once resonant waves grow enough to modify the mean flow.

55 Tung, in a series of papers (Tung and Lindzen 1979a,b; Tung 1979), systematically relaxed various  
56 of the tuning-related simplifications, and derived semi-analytical solutions showing the existence  
57 of quasi-normal modes under more realistic flow conditions, provided the modes are vertically  
58 trapped (see also Esler and Scott 2005). Various studies starting from Charney and DeVore  
59 (1979) addressed the issue of nonlinear mean-flow modification, along with various tuning-related  
60 simplifications, in a range of model complexities, and showed that resonance can occur through  
61 self-tuning, and shape the low frequency variability of the flow, by allowing multiple flow regimes  
62 to exist (e.g Pedlosky 1981; Plumb 1979, 1981; Jin and Ghil 1990; Luo 1997; Esler et al. 2006;  
63 Lutsko and Held 2016).

64 One aspect which has only partially or indirectly been addressed in the above studies is the effect  
65 of equatorward refraction. On the sphere, Rossby waves tend to refract towards the equator (Hoskins  
66 and Karoly 1981) where waves tend to break and be absorbed at a critical layer (Killworth and  
67 McIntyre 1985). Such a wave activity-leakage to the equator affects the ability of normal modes,  
68 and correspondingly resonance to form (Tung 1979; Källén 1997; Lutsko and Held 2016). We will  
69 focus on this effect here.

70 Leakage to the equator is particularly important if another simplification of idealized resonance  
71 studies is relaxed, namely, that the wave source and mean flow are zonally symmetric. If the wave  
72 source is localized in the zonal direction, the wave emanating from it needs to propagate around  
73 the globe to form a resonant mode (c.f. Lutsko and Held 2016). If the leakage to the equator is  
74 too strong, a circumglobal mode will not form. Thus, the existence of a zonal waveguide (e.g  
75 Hoskins and Ambrizzi 1993) is necessary for a normal mode to be established and resonantly  
76 excited. Various studies have developed diagnostics of the *waveguidability* of the flow, and used it  
77 to examine its dependence on parameters of the zonal mean flow (e.g Hoskins and Ambrizzi 1993;  
78 Branstator 2002; Manola et al. 2013; Wirth 2020). These studies all show that a strong localized  
79 zonal jet is needed to guide the waves zonally.

80 In this paper we will examine the role of meridional trapping in a simple model which allows  
81 an analytical solution. We note that Tung (1979) derived analytical normal mode solutions in the  
82 presence of a critical surface with linear damping. His solution shows that quasi-normal modes,

83 and correspondingly quasi-resonance, do occur due to partial reflection. In that study, however,  
84 the amount of partial meridional reflection can't be controlled. In this study, we take a different  
85 approach, in which we use a very simple model (barotropic  $\beta$ -plane channel model with constant  
86 zonal flow) in which we specify the amount of wave-activity leakage to the tropics (model and  
87 analytical solution described in section 2). We then examine the effect of meridional leakage on  
88 resonance, how it compares with the effects of damping, and whether the combination of realistic  
89 amounts of leakage and damping allow a reasonable amount of quasi-resonance to explain realistic  
90 wave amplification (section 3). We further compare our results to carefully constructed numerical  
91 solutions of a barotropic channel model with a meridionally-localized zonal jet stream, and a leaky  
92 equatorial boundary (section 4), and summarize with a discussion of the relevance to more realistic  
93 flows in section 5. To facilitate the flow of the paper, some of the technical points are discussed in  
94 appendices.

## 95 **2. Analytic solutions of a barotropic $\beta$ -plane channel model**

96 To incorporate leakage into an analytical forced wave solution, we use the simplest setup of a  
97 zonally-oriented Rossby wave guide, a  $\beta$ -plane channel model, with poleward and equatorward  
98 boundaries at  $y = \pm L$ , a constant zonal flow  $\bar{u}$ , and a meridionally localized (in the form of a  $\delta$ -  
99 function) stationary wave forcing of a given zonal wavenumber. This  $\delta$ -function forcing structure  
100 allows, via a Greens function method, to obtain analytical solutions for a partially reflecting  
101 southern channel boundary, which allows part of the wave activity to leak out. We will examine  
102 how linear drag and leakage through the equatorward boundary modify the amplitude and phase of  
103 the response to forcing, and specifically, how it modifies the resonant response, viewed by varying  
104 the strength of the zonal mean zonal wind across its resonant value.

### 105 *a. Basic model and Greens function forcing setup*

106 We assume a zonal mean zonal flow  $\bar{u}$ , with linear momentum damping  $\alpha$ . We express the  
107 anomaly fields (deviation from a zonal mean) in terms of a streamfunction  $\psi$ , which satisfies  
108  $v = \frac{\partial\psi}{\partial x}$ ,  $u = -\frac{\partial\psi}{\partial y}$ , and  $q = \frac{\partial v}{\partial x} - \frac{\partial u}{\partial y} = \frac{\partial^2\psi}{\partial y^2} + \frac{\partial^2\psi}{\partial x^2}$ , where  $v$ ,  $u$ , and  $q$ , are the meridional wind, the  
109 zonal wind and vorticity anomalies respectively, and  $t$ ,  $x$ , and  $y$  are the time, zonal direction and  
110 meridional direction coordinates. The linearized vorticity equation with topography  $h(x, y)$  as

111 forcing is then:

$$\left(\frac{\partial}{\partial t} + \bar{u}\frac{\partial}{\partial x} + \alpha\right)\left(\frac{\partial^2\psi}{\partial y^2} + \frac{\partial^2\psi}{\partial x^2}\right) + \frac{\partial\psi}{\partial x}\bar{q}_y = -f_o\bar{u}\frac{\partial h}{\partial x} \quad (1)$$

112 where  $\bar{q}_y = \beta - \frac{d^2\bar{u}}{dy^2}$  is the meridional gradient of the zonal-mean flow vorticity, and  $f_o$  is the central  
113 channel Coriolis force.

114 To allow for an analytical solution, we will assume a constant zonal-mean zonal flow  $\bar{u} = U$ , and  
115 use a Green's function approach, where we examine the response  $G_k(y, y')$ , to a pure sinusoidal  
116 topography in the zonal direction, localized at a single latitude  $y' : h(x, y) = \delta(y - y')e^{ikx}$ . The  
117 response to a general topography  $h(x, y)$  can then be obtained by taking a Fourier transform in the  
118 zonal direction, and for each zonal component, convolving the response to the Green's function  
119 with the full forcing at zonal wavenumber  $k$ :

$$\psi_k(y) = \int_{-L}^L G_k(y, y')h_k(y')dy' \quad (2)$$

120 where  $h_k(y)$  is the Fourier component  $k$  of the topography  $h(x, y)$ . In what follows we will examine  
121 a single zonal Fourier mode and drop the subscript  $k$ .

122 Looking for steady solutions (to fit the stationary forcing), we assume a solution of the form:

$$\psi(x, y) = G(y, y')e^{ikx}$$

123 Plugging in to equation 1, after taking into account that  $\bar{u}(y) = U = \text{const}$  (which yields  $\bar{q}_y = \beta$ ),  
124 we are left with solving the following equation:

$$\frac{d^2G}{dy^2} + \left(\frac{\beta}{U - \frac{i\alpha}{k}} - k^2\right)G = -\frac{f_o}{1 - \frac{i\alpha}{kU}}\delta(y - y') \quad (3)$$

125 Away from the forcing latitude  $y'$ , the solution will be that of the homogeneous problem, and will  
126 assume the form  $e^{i\tilde{l}y}$ ,  $e^{-i\tilde{l}y}$  where

$$\tilde{l}^2 = \frac{\beta}{U - \frac{i\alpha}{k}} - k^2 \quad (4)$$

127 For the inviscid case, we get  $\tilde{l}(\alpha = 0) = l$  where

$$l = \sqrt{\frac{\beta}{U} - k^2} \quad (5)$$

128 In appendix 5 we show that the corresponding complex meridional wavenumber approximately  
129 equals:

$$\tilde{l} \approx l + \frac{i\alpha}{Cg_y} \quad (6)$$

130 where  $Cg_y = \frac{2kl\beta}{(k^2+l^2)^2}$  is the meridional group speed<sup>1</sup>. Note that for a wave solution, we need  $l$  to be  
131 real, meaning  $\frac{\beta}{U} > k^2$ .

132 The full solution is then comprised of two parts, equatorwards and polewards of the forcing:

$$G(y, y') = \begin{cases} A_1 e^{i\tilde{l}y} + A_2 e^{-i\tilde{l}y} & y > y' \\ B_1 e^{i\tilde{l}y} + B_2 e^{-i\tilde{l}y} & y < y' \end{cases} \quad (7)$$

133 and the meridional EP flux, which represents the meridional flux of wave activity, equals:

$$F_{(y)} = -\overline{uv} = -\frac{1}{2} \Re(uv^*) \approx \frac{k}{2} \begin{cases} l \left( |A_1|^2 e^{-\frac{2\alpha}{Cg_y}y} - |A_2|^2 e^{\frac{2\alpha}{Cg_y}y} \right) - \frac{2\alpha}{Cg_y} \Im(A_1 A_2^* e^{2i\tilde{l}y}) & y > y' \\ l \left( |B_1|^2 e^{-\frac{2\alpha}{Cg_y}y} - |B_2|^2 e^{\frac{2\alpha}{Cg_y}y} \right) - \frac{2\alpha}{Cg_y} \Im(B_1 B_2^* e^{2i\tilde{l}y}) & y < y' \end{cases} \quad (8)$$

134 where  $\Re$  and  $\Im$  denote the real and imaginary components, and the approximation of  $\tilde{l}$  for small  $\alpha$   
135 (equation 6) was assumed. Physically,  $F_{(y)}$  is the sum of a poleward ( $A_1, B_1$ ) and equatorward ( $A_2,$   
136  $B_2$ ) propagating waves, with the temporal decay at rate  $\alpha$  resulting in a spatial decay rate of  $\frac{\alpha}{Cg_y}$ ,  
137 with an additional component (the imaginary term) which arises due to the effect of the damping  
138 on the phase of the waves (via the effect on  $\tilde{l}$ ).

139 The coefficients  $A_{1,2}$  and  $B_{1,2}$  need to be determined from the boundary conditions at  $y = \pm L$ ,  
140 and from the following matching conditions at  $y = y'$ , implemented by taking the limit of  $\epsilon$  going

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<sup>1</sup>Note that the group speed is by definition positive. Here we choose the convention that  $l$  is positive, and the wave solution is  $e^{\pm i\tilde{l}y}$ . Under this convention, the poleward propagating part of the wave is proportional to  $e^{i\tilde{l}y} e^{-\frac{\alpha}{Cg_y}y}$ , which decays polewards, while the equatorward propagating part of the solution is proportional to  $e^{-i\tilde{l}y} e^{\frac{\alpha}{Cg_y}y}$ , which decays equatorwards.

141 to zero:

$$\lim_{\epsilon \rightarrow 0} (G(y' + \epsilon, y') - G(y' - \epsilon, y')) = 0 \quad (9)$$

$$\lim_{\epsilon \rightarrow 0} \left( \frac{dG}{dy} \Big|_{y'+\epsilon} - \frac{dG}{dy} \Big|_{y'-\epsilon} \right) = -\frac{f_0}{1 - \frac{i\alpha}{kU}}$$

142 The first matching condition insures continuity of pressure and meridional flow, and the second  
143 condition is obtained by integrating equation 3 in  $y$ .

144 *b. Boundary conditions incorporating leakage*

145 The simple boundary condition of no meridional flow into across the channel walls implies  
146  $G(\pm L, y') = 0$ . We will assume this always holds at the poleward boundary  $y = L$ . This implies  
147  $A_2 = -A_1 e^{i2\tilde{L}}$ , which yields

$$G(y > y', y') = A \left( e^{i\tilde{l}(y-L)} - e^{-i\tilde{l}(y-L)} \right) \quad (10)$$

148 where  $A \equiv A_1 e^{i\tilde{L}}$ .

149 The vanishing of the streamfunction at the walls implies full reflection of waves from it. This  
150 is seen by implementing the rigid wall boundary condition (equation 10) into the meridional  
151 component of the Eliassen-Palm (EP) flux (Eq. 8):

$$F_{(y)}(y > y') \approx kl|A|^2 \sinh \left( \frac{2\alpha}{Cg_y} (y-L) \right) + \frac{k\alpha}{Cg_y} \sin(2l(y-L)) \quad (11)$$

152 which vanishes at  $y = L$ . Note that in the case of inviscid flow,  $F_{(y)} = 0$  everywhere, not just at the  
153 boundaries, consistent with the polewards and equatorwards components having equal amplitudes,  
154 resulting in a zero net meridional wave activity flux.

155 To incorporate leakage of wave activity at the southern boundary, we start from the expression  
156 for the wave activity flux at the southern part of the channel for the inviscid case (setting  $\alpha = 0$  in  
157 equation 8):

$$F_{(y)}(y < y') = \frac{kl}{2} (|B_1|^2 - |B_2|^2) \quad (12)$$

158 This solution, which is similar to that derived by Harnik (2001), indicates that  $F_{(y)}$  is constant  
159 when there is no damping. When some of the wave activity leaks through the equatorward



160 boundary, the poleward reflected wave has a smaller amplitude than the incident wave, resulting in  
 161 a net EP flux out of the domain. This can be explicitly imposed, by setting:

$$|B_1|^2 = |R|^2 |B_2|^2$$

162 where  $|R|^2 \leq 1$  is the amount of wave activity reflected back polewards from the southern channel  
 163 boundary. From equation 12, the meridional EP flux south of the wave source is negative, consistent  
 164 with a net equatorward wave activity flux:

$$F_{(y)}(y < y') = -(1 - |R|^2) \frac{kl}{2} |B_2|^2$$

165 The quantity  $1 - |R|^2$  denotes the fraction of wave activity leakage out of the waveguide.

166 Requiring that the meridional velocity at the boundary will vanish when there is full reflection  
 167 ( $|R| = 1$ ), we get the following condition on the solution coefficients

$$B_1 = -|R| e^{2i\tilde{l}L} B_2$$

168 and the following general solution

$$G(y, y') = \begin{cases} A \left( e^{i\tilde{l}(y-L)} - e^{-i\tilde{l}(y-L)} \right) & y > y' \\ B \left( |R| e^{i\tilde{l}(y+L)} - e^{-i\tilde{l}(y+L)} \right) & y < y' \end{cases} \quad (13)$$

169 where we used  $B \equiv -B_2 e^{i\tilde{l}L}$ . Note that the meridional wind anomaly at the southern boundary is  
 170 not zero when there is leakage of wave activity ( $|R| \neq 1$ ), consistent with a membrane, rather than  
 171 with a rigid wall.

172 This leaky boundary condition can also be phrased as the following condition at  $y = -L$  (see  
 173 Appendix 5 for a derivation):

$$\left( \frac{1 - |R|}{1 + |R|} \right) \frac{dG}{dy} + i\tilde{l}G = 0 \quad (14)$$

174 *c. The full solution*

175 Plugging solution 13 into the matching conditions 9, we get the leaky, damped, Green's function  
176 solution:

$$G(y, y') = \frac{if_0}{2\tilde{l}(1 - \frac{i\alpha}{kU})(e^{-2i\tilde{l}L} - |R|e^{2i\tilde{l}L})} \begin{cases} (e^{-i\tilde{l}(y'+L)} - |R|e^{i\tilde{l}(y'+L)})(e^{i\tilde{l}(y-L)} - e^{-i\tilde{l}(y-L)}) & y > y' \\ (e^{i\tilde{l}(y'-L)} - e^{-i\tilde{l}(y'-L)})(e^{-i\tilde{l}(y+L)} - |R|e^{i\tilde{l}(y+L)}) & y < y' \end{cases} \quad (15)$$

### 177 3. The effect of leakage and damping on resonance in the $\beta$ -plane channel

178 In what follows, we will examine the physical properties of the analytical solution, specifically,  
179 how the resonance properties of the inviscid perfect-channel case are modified by wave activity  
180 leakage, and how its influence compares with the effect of damping.

#### 181 *a. Resonance in the inviscid perfect channel*

182 To do this we will start by examining the resonant response to the  $\delta$ -localized forcing in the case  
183 of a perfect waveguide. Setting  $\alpha = 0$ ,  $|R| = 1$  in equation 15 we get:

$$G(y, y') = -\frac{f_o}{l \sin(2lL)} \begin{cases} \sin(l(y'+L)) \sin(l(y-L)) & y > y' \\ \sin(l(y'-L)) \sin(l(y+L)) & y < y' \end{cases} \quad (16)$$

184 We see that the solution blows up if the parameters of the problem are such that  $L = \frac{\pi}{2l}$ , where  
185 the meridional wavenumber  $l$ , c.f. equation 5, is a function of the externally specified zonal mean  
186 zonal wind  $U$ , the meridional PV gradient  $\beta$ , and the topography zonal wavenumber  $k$ , and is  
187 independent of the channel width  $L$ . In this case, we have resonance, because the wave source  
188 excites waves which, after propagating polewards and equatorwards to the channel walls and getting  
189 reflected back, reach the source exactly in phase to enhance it.

199 Fig. 1 shows the amplitude and phase at  $y = 0$ , for the case of a mid-channel source  $y' = 0$ , as a  
 200 function of the zonal wind  $U$ , for a given non-dimensional zonal wavenumber  $s = k \frac{L_x}{2\pi}$ ,  $L_x$  being  
 201 the zonal channel length, based on equation 16. We note that similar results can be obtained by  
 202 varying other parameters, like the meridional channel width, or the zonal wavenumber, and for  
 203 other channel-parameter values. The classical features of resonance are evident - the amplitude  
 204 blows up, and there is a sharp phase shifting of  $\pi$  radians across the resonance, with the wave  
 205 geopotential being exactly out of phase with the forcing height anomaly for sub-resonant zonal  
 206 winds, and in phase with the forcing for super-resonant zonal winds, and the anomaly being exactly  
 207 in quadrature with the mountain at resonance (c.f. Vallis 2017).

208 To examine the relevance of the resonance behavior of our point source solution to a more  
 209 realistic, non-localized forcing, we compare to the response to a cosine-shaped mountain  $h(x, y) =$   
 210  $\cos(l_o y)e^{ikx}$ , for which the analytical solution to equation 1 is easily shown to be:

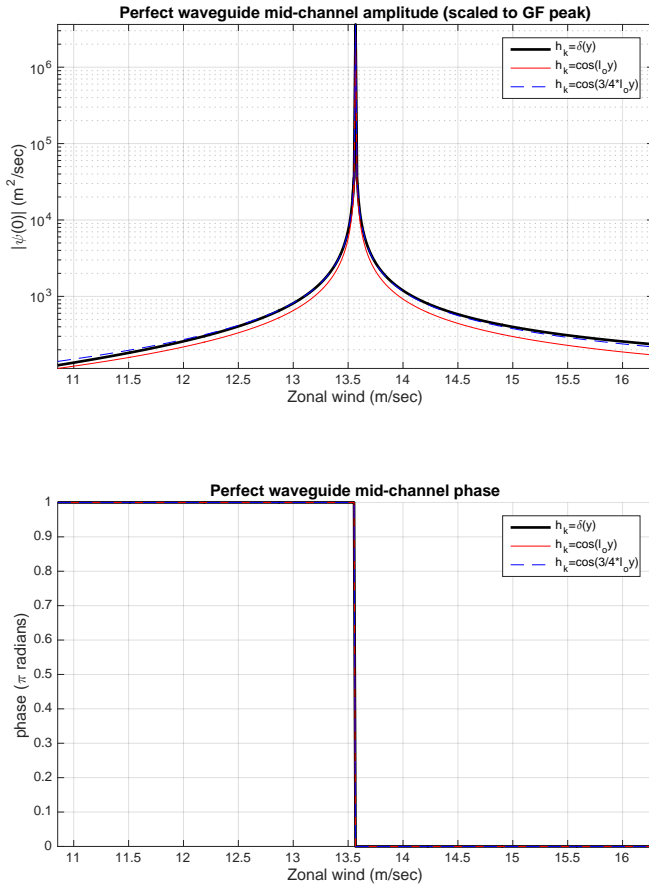
$$\psi_k(y) = -\frac{f_o}{l^2 - l_o^2} \left( \cos(l_o y) - \frac{\cos(l y) \cos(l_o L)}{\cos(l L)} \right) \quad (17)$$

211 where as before,  $l$  satisfies equation 5. In appendix B1, we show how this solution is obtained  
 212 using the Greens function approach, by plugging expression 16 for  $G(y, y')$  into equation 2.

213 We note a few things:

- 214 • The first term in the parentheses is the particular solution for the  $\cos(l_o y)$  forcing, while the  
 215 second term is a homogeneous solution, which is added to insure that the boundary condition  
 216  $\psi'(x, \pm L) = 0$  is satisfied for any  $l_o$ .
- 217 • As for the Green's function solution, the homogeneous part of the solution here also blows  
 218 up (resonance) when the flow parameters ( $k$  and  $U$ ) yield a meridional wavenumber which is  
 219 equal to  $l = \frac{\pi}{2L}$ .
- 220 • For flow parameters which yield a meridional wavenumber equal to that of the forcing ( $l = l_o$ ),  
 221 but is not resonant in the sense above ( $l \neq \frac{\pi}{2L}$ ), both the term in brackets, and the denominator  
 222  $l^2 - l_o^2$  are zero, and we get the following finite solution (using l'Hôpital's Rule):

$$\lim_{l \rightarrow l_o} \psi_k(y) = -f_o \frac{y \sin(l_o y) \cos(l_o L) - L \sin(l_o L) \cos(l_o y)}{2l_o \cos(l_o L)}$$



190 FIG. 1. The amplitude (top) and phase (relative to the forcing zonal phase, in units of  $\pi$ , bottom) of the  
 191 mid-channel streamfunction for three different forcing shapes:  $h_k(y) = \delta(y)$  (thick black),  $h_k(y) = \cos(l_o y)$   
 192 (red), and  $h_k(y) = \cos(\frac{3}{4}l_o y)$  (dashed blue), for  $l_o = \frac{\pi}{2L}$ , as a function of the zonal mean zonal wind ( $U$ ), for  
 193 non dimensional zonal wavenumber  $s = 4$  at latitude  $\phi_0 = 40^\circ$ , with the channel half-width  $L_o = 2000km$ , which  
 194 gives a resonant zonal mean zonal wind value of  $U_0 = 13.57m/sec$ . For plotting purposes, we offset the value  
 195 of  $U$  by a tiny amount ( $10^{-5}m/sec$ ) from resonance values, for the peak to be finite. Note that the y-axis is  
 196 logarithmic. For comparison between the different forcing shapes, the solutions were normalized by the integral  
 197 of the forcing over the channel, giving resonance-peak values of the  $\cos(l_o y)$  and  $\cos(3/4l_o y)$  solutions of 0.79  
 198 and 0.99 of the  $\delta(y)$  solution peak, respectively.

Note that this solution vanishes at the boundaries  $y = \pm L$ , but in general, it is not resonant because the forcing structure, though a solution to equation 1, does not, in itself, satisfy the boundary conditions.

- When the forcing is chosen to vanish at the channel walls -  $l_o = \frac{\pi}{2L}$  - the second term in the parentheses of 17 is zero, yielding the more commonly discussed forced solution (e.g Petoukhov et al. 2013):

$$\psi_k(y) = -\frac{f_o \cos(l_o y)}{l^2 - l_o^2}$$

and when the flow parameters are such that  $l = l_o$ , we get resonance. In this case the forcing has the structure of the free solution of equation 1 and the boundary conditions, meaning it is a normal mode of the system.

The mid-channel amplitude for the cosine-forcing case is also shown in Fig. 1 (thin line). Comparing the responses to the point-source and cosine forcing shapes, we see a very similar dependence on  $U$ . The above results suggest the delta-forcing solution can be used to study the resonance properties of the system, which are not too sensitive to the meridional shape of the forcing, as long as it excites a normal mode of the system<sup>2</sup>.

#### *b. The resonant response in the presence of damping*

Keeping  $\alpha$  finite and plugging  $|R| = 1$  in solution 15 gives:

$$G(y, y') = \frac{if_0}{2\tilde{l}(1 - \frac{i\alpha}{kU})(e^{2i\tilde{l}L} - e^{-2i\tilde{l}L})} \begin{cases} (e^{i\tilde{l}(y'+L)} - e^{-i\tilde{l}(y'+L)})(e^{i\tilde{l}(y-L)} - e^{-i\tilde{l}(y-L)}) & y > y' \\ (e^{i\tilde{l}(y'-L)} - e^{-i\tilde{l}(y'-L)})(e^{i\tilde{l}(y+L)} - e^{-i\tilde{l}(y+L)}) & y < y' \end{cases} \quad (18)$$

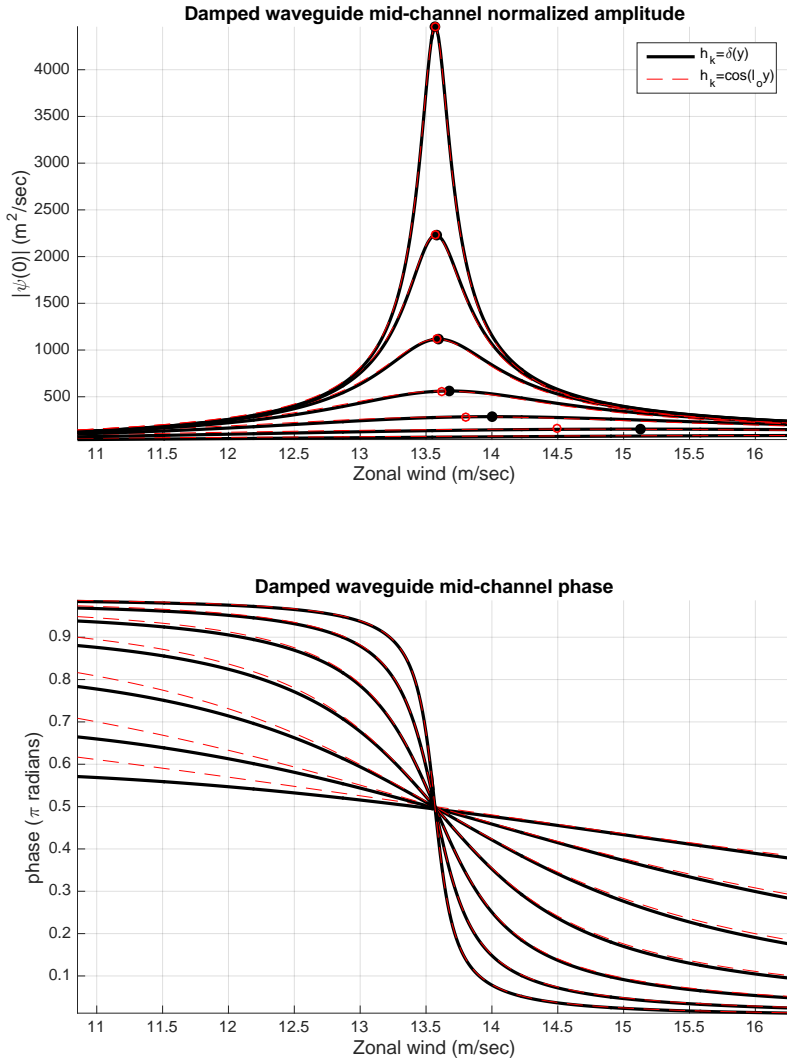
In analogy to the inviscid case, we also obtain the following solution for a cosine forcing (see appendix B1):

$$\psi_k(y) = -\frac{f_o}{\frac{\beta}{U} - (l_o^2 + k^2)(1 - \frac{i\alpha}{kU})} \left( \cos(l_o y) - \frac{\cos(l_o L)(e^{i\tilde{l}y} + e^{-i\tilde{l}y})}{(e^{i\tilde{l}L} + e^{-i\tilde{l}L})} \right) \quad (19)$$

---

<sup>2</sup>There is one caveat to this statement. Under very specific conditions, the  $\delta$ -forcing parameters can result in a destructive, rather than a constructive interference of the reflected waves with the source. This appears as "anti-resonance" points, for which the amplitude sharply decreases to zero. Such points do not appear in the cosine-forcing case because this cancellation happens for the forcing at a single latitude, so that forcings from different latitudes take over the response. The destructive interference point, as well as higher order resonances occur outside the domain of zonal wind values shown in Fig. 1, and are discussed in Section e.

247 We note first that in the inviscid limit,  $\tilde{l}(\alpha = 0) = l$ , and 18, 19 converge to 16, 17. Fig. 2 shows  
248 the mid-channel amplitude and phase dependence on  $U$ , for the case of a delta-forcing at mid  
249 channel ( $y = 0$ ), as well as for the cosine forcing with  $l_o = \frac{\pi}{2L}$ , for a few values of the damping  
250 parameter. We see that for non vanishing  $\alpha$ , the solution is no longer singular, though it is strongly  
251 amplified at the inviscid-resonance  $U$  value, with a sharp phase shifting of almost  $\pi$  radians. The  
252 quasi-resonant amplification, and the sharpness and amount of phase shifting decrease with the  
253 damping rate. We also see that for large enough damping (damping time scales smaller than 16  
254 days), the resonance peak shifts to larger values of  $U$ , corresponding to narrower resonant channel  
255 widths. We also see, as in the conservative channel case, the shape of the resonance for a  $\delta$  forcing  
256 is very similar to that of a cosine forcing.



241 FIG. 2. As in figure 1 but for a model with damping, for a forcing shape  $h_k(y) = \delta(y)$  (black) and  $h_k(y) =$   
 242  $\cos(l_0 y)$  (red dashed), for different damping values, corresponding to damping time scales of 2, 4, 8, 16, 32, 64,  
 243 128 days. The damping strength affects the profiles in a monotonous way, with the peak amplitude increasing as  
 244 the damping gets weaker (a longer damping time scale), and the phase change around the resonance peak getting  
 245 sharper as the damping is reduced. The filled black/open red circles mark the peak amplitude for each profile.  
 246 The channel and flow parameters are similar to those of figure 1.

257 *c. The effect of leakage on the resonant response*

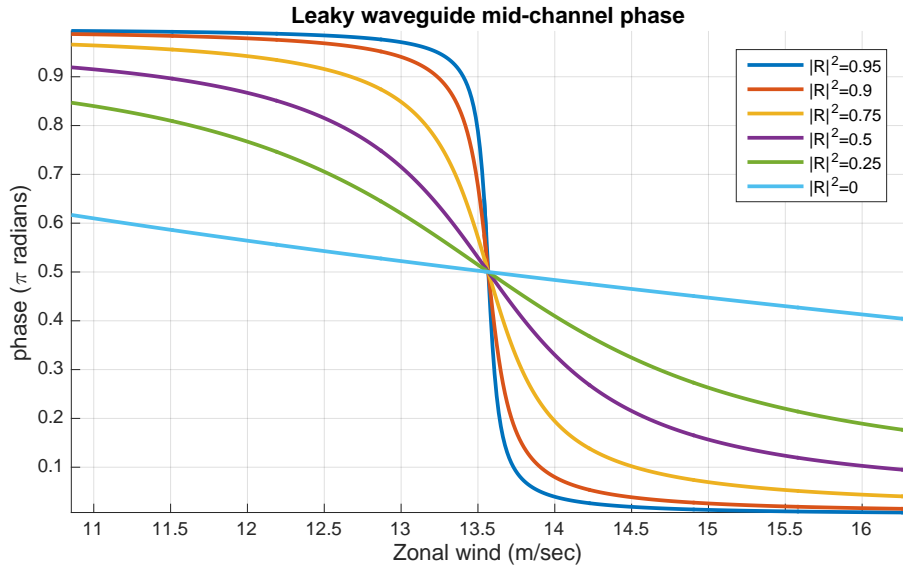
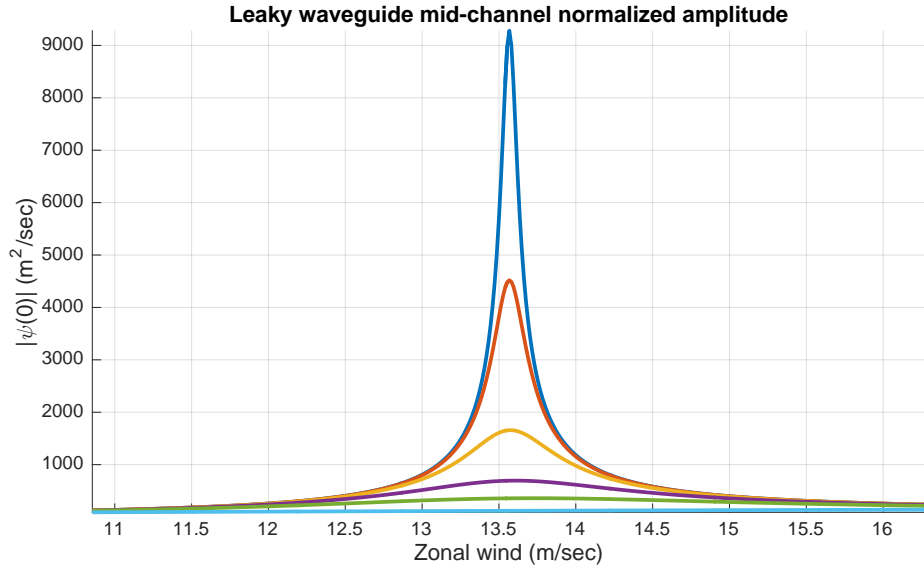
258 To examine the effects of leakage, we start with the case of no damping. Setting  $\alpha = 0$  in  
 259 solution 15 we get:

$$G(y, y') = -\frac{f_0}{l(e^{-2ilL} - |R|e^{2ilL})} \begin{cases} \sin l(y-L) (e^{-il(y'+L)} - |R|e^{il(y'+L)}) & y > y' \\ \sin l(y'-L) (e^{-il(y+L)} - |R|e^{il(y+L)}) & y < y' \end{cases} \quad (20)$$

260 Fig. 3 shows the mid-channel response to a Delta-function forcing at  $y' = 0$ , for  $|R|^2$  ranging  
 261 between 0.95 to 0 (leakage ranging from 5-100 percent). We see a clear indication for quasi-  
 262 resonance (sharp amplification and almost- $\pi$  phase shifting) for small leakage, with the peak  
 263 amplification and phase shifting weakening and becoming wider as more wave activity leaks out.  
 264 For the case of full leakage ( $|R|^2 = 0$ ), there is no amplification and the phase of the solution  
 265 changes linearly with  $U$  across the whole range.

266 The solution with no reflection from the southern boundary allows us to quantify the effect of  
 267 resonance, by defining an amplitude amplification factor for a given  $|R|$  as the ratio between the  
 268 resonance-amplitude and the corresponding amplitude for  $|R| = 0$ . We also define a phase-change  
 269 rate as the amount of phase change per change in  $U$  (in units of  $\frac{\pi}{ms^{-1}}$ ). This value is largest (in  
 270 absolute value) at the resonance peak. Table 1 shows the amplitude amplification factor and phase-  
 271 change rate for solutions based on equation 20 (some of the runs are shown in Fig. 3). We see that  
 272 the sensitivity to leakage is strongest near the pure resonance state, when there is very little leakage.  
 273 However, even quite a lot of leakage (e.g.  $|R|^2 = 0.25$ ) can result in an amplitude amplification  
 274 which is significant (2.6 for  $|R|^2 = 0.25$ ), which in the real atmosphere may be enough to lead  
 275 to extreme weather. The phase-change rate also changes strongly with the amount of leakage.  
 276 Thinking about a theoretical case in which a change in the mean flow of  $1ms^{-1}$  leads to resonance,  
 277 we will see a full change in phase of  $\pi$  radians for small leakage values (c.f. less than 25% -  
 278  $|R|^2 > 0.75$ ), however, the phase change becomes quite small when the leakage is large, being less  
 279 than  $1/8^{th}$  of a wavelength for  $|R|^2 = 0.25$ . The rate of change of the wave phase with the mean flow  
 280 velocity may be a useful quantity to examine in observations to detect the possibility of resonance.  
 281  
 282  
 283





266 FIG. 3. As in figure 1 but for the leaky channel, for the forcing  $h_k(y) = \delta(y)$ . The reflection coefficient  $|R|^2$   
 267 denotes the fraction of wave activity which is reflected back into the waveguide, while a fraction of  $1 - |R|^2$  leaks  
 268 out of the southern boundary.

284 TABLE 1. The resonance amplitude-amplification factor and phase-change rate for different degrees of leakage  
 285  $(1 - |R|^2)$ , for the leaky channel with no damping (the reflectivity values in bold are shown in Fig. 3). The phase  
 286 change measure is the largest phase jump across two adjacent  $U$  values, and is shown in units of  $\pi$  radians per  
 287  $ms^{-1}$ .

Reflectivity $ R ^2$	<b>0.95</b>	<b>0.9</b>	0.8	<b>0.75</b>	0.7	<b>0.5</b>	0.4	<b>0.25</b>	0.2	0.1	<b>0</b>
Amplitude amplification	65.9	32.1	15.2	11.8	9.5	4.9	3.8	2.6	2.2	1.7	1
Phase-change rate ( $\pi/(ms^{-1})$ )	5.88	2.91	1.38	1.07	0.86	0.44	0.33	0.22	0.19	0.13	0.05

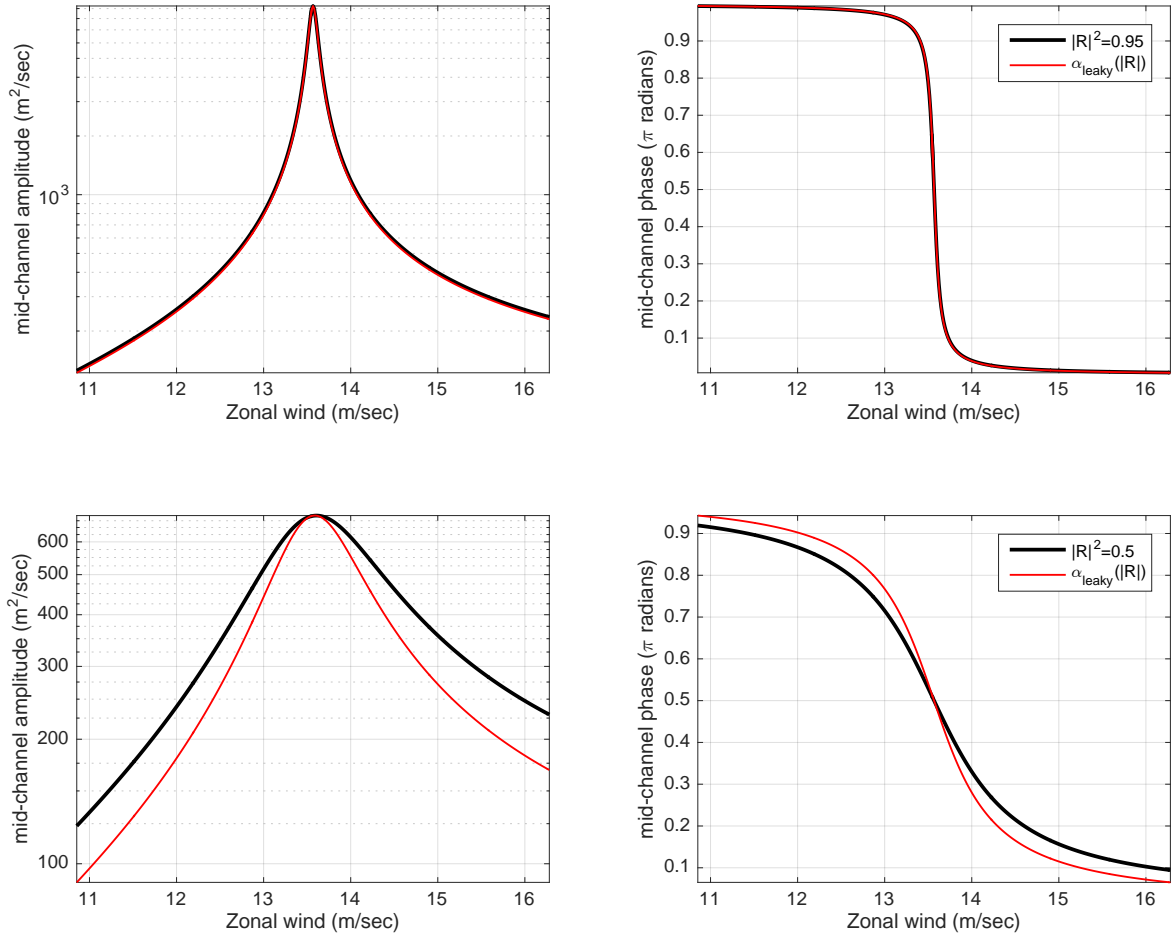
288 Comparing figures 2 and 3 suggests leakage and weak damping have a similar effect on res-  
 289 onance<sup>3</sup>. This similarity is expected since both processes act to reduce the wave activity as it  
 290 propagates back and forth between the meridional channel walls. A more quantitative comparison  
 291 can be made by estimating the damping rate in the leaky channel by assuming that each time the  
 292 wave traverses across the channel and back (a distance of  $4L$ ), a fraction  $1 - |R|^2$  of its wave activity  
 293 leaks out. Taking into account that the wave activity propagates at the group velocity speed  $Cg_y$ ,  
 294 and noting that the streamfunction  $\psi$  decays at half the rate of the wave activity  $A \propto q'^2 \propto \psi'^2$   
 295  $(\frac{1}{A} \frac{dA}{dt} \approx \frac{1}{2\psi} \frac{d\psi}{dt})$ , we get an estimate of an effective leakage decay rate of:

$$\alpha_{Leaky} \approx \frac{1}{2} \frac{(1 - |R|^2) Cg_y}{4L} \quad (21)$$

296 For values of  $|R|^2 = [0.95, 0.9, 0.75, 0.5, 0.25, 0]$ , and the parameters used to create Fig. 3, this gives  
 297 damping time scales of  $\tau \approx \alpha^{-1} = [8982, 2246, 359, 90, 40, 22.5]$  days. Fig. 4 shows a comparison  
 298 of the mid-channel amplitude solutions as a function of the channel width, for  $|R|^2 = 0.95$  and  
 299  $|R|^2 = 0.5$ , alongside the damped-channel solutions with  $\alpha_{Leaky}$  taken from equation 21. We see  
 300 that for the weak leakage, the damped-solution matches the leakage one really well. For stronger  
 301 leakage, the differences become apparent, suggesting equation 21 under-estimates the equivalent  
 302 effective damping rate (stronger damping is needed to make the red curves closer to the black  
 303 curves, which show slower amplitude and phase changes near the resonance compared to the red  
 304 curves).

308 An alternative quantitative measure to relate the effects of damping to leakage is by comparing  
 309 the phase shifting across the resonance. Table 2 shows the phase change, as calculated in table 1,

<sup>3</sup>Note however that strong damping, unlike strong leakage, changes the value at which resonance occurs.



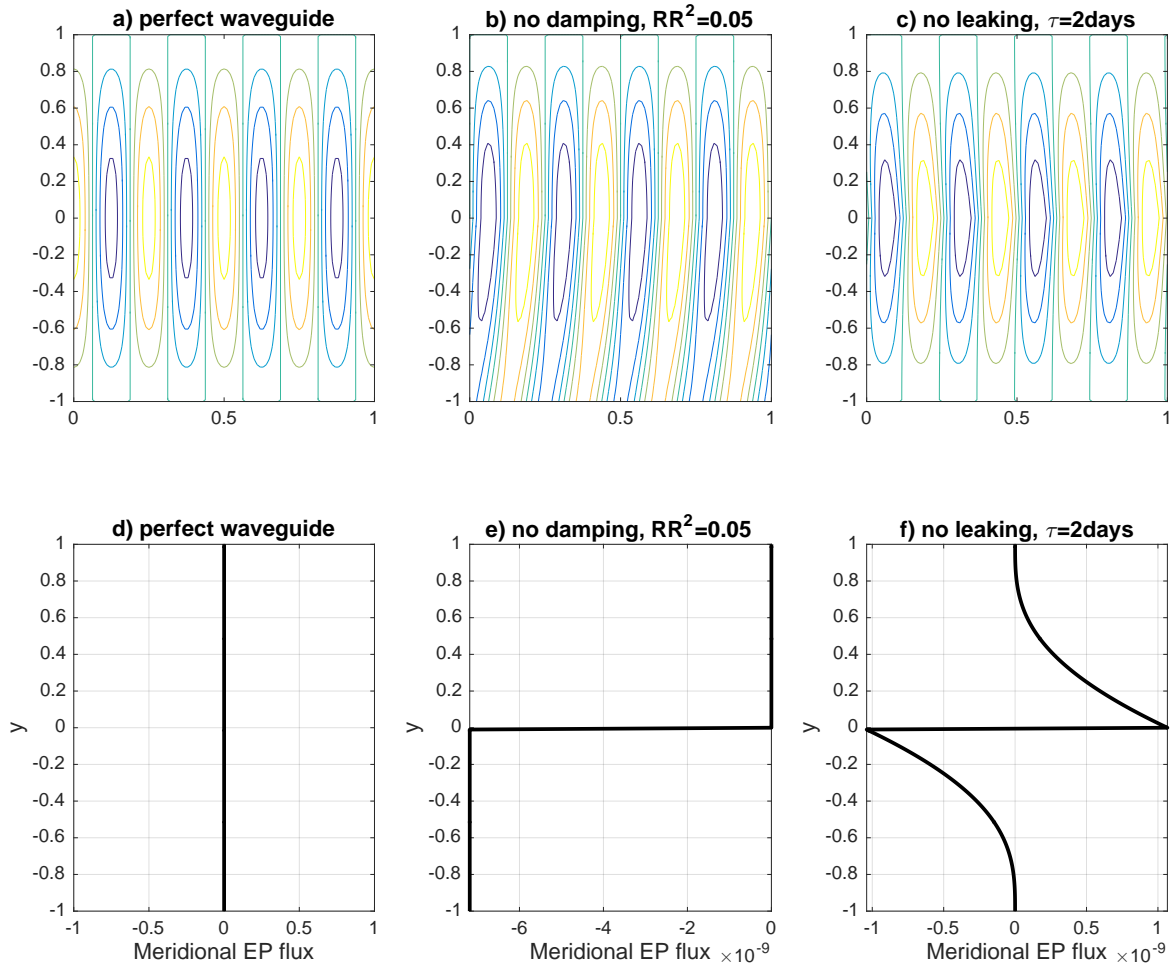
305 FIG. 4. The mid-channel amplitude for  $|R|^2 = 0.95$  (top) and  $|R|^2 = 0.5$  (bottom), similar to the curves shown in  
 306 figure 3 (black contours), along with the damped-channel solutions (similar to Figure 2 but for the corresponding  
 307 effective damping values given by equation 21.

310 for different damping values (calculated from equation 18, some values are shown in Fig. 2). By  
 311 comparing the phase changes to those in table 1, we can see that leakage values of 5, 50 and 100  
 312 percent of the wave activity ( $|R|^2 = 0.95, 0.5, 0$ ) correspond, respectively, to damping time scales  
 313 of 256, 20 and 2 days.

314 TABLE 2. The resonance phase-change rate for different damping time scales ( $day^{-1}$ ), for the runs calculated  
 315 from equation 18. Damping times in bold are shown in Fig. 2.

Damping $\tau$ ( $days^{-1}$ )	256	<b>128</b>	<b>64</b>	45	36	20	<b>16</b>	10	<b>8</b>	6	<b>2</b>
Phase-change rate ( $\pi/(ms^{-1})$ )	5.66	2.87	1.44	1.01	0.81	0.45	0.36	0.22	0.18	0.13	0.04

316 While the effect of damping and leakage on the resonant behaviour may be similar in terms  
 317 of the amplitude and phase changes across the resonance values, the spatial mode structure is  
 318 qualitatively different, as shown by Fig. 5. While poleward of the forcing the two wave fields  
 319 look similar, equatorward of it, the damped solution is symmetric around the forcing while the  
 320 leaky solution shows a south-west north east tilted wave which is nonzero at the channel wall.  
 321 Correspondingly, the meridional EP flux is identically zero for the perfect waveguide. For the  
 322 damped case it is directed away from the forcing (and symmetric for this mid-channel forcing  
 323 location) with a value of zero at the walls, as required by the boundary conditions of perfect  
 324 reflection. For the leaky case, on the other hand, it is piece-wise constant, with a value of zero  
 325 poleward of the forcing and negative equatorward of the forcing, consistent with wave activity  
 326 leakage out of the southern channel wall.



327 FIG. 5. The latitude-longitude structure of the stream-function (top row) and the meridional EP flux as a  
 328 function of latitude (bottom row) in response to a delta forcing at the mid channel latitude ( $y = 0$ ) for the  
 329 following runs: a,d) A perfect channel (no damping and no leakage, see Figure 1). b,e) A leaky channel with  
 330  $|R|^2 = 0.05$  and no damping (see Figure 3). c,f) A strongly damped channel ( $\tau = 2d$ ) with no leakage (see  
 331 Figure 2). Latitude is in units of  $L$ , the half-channel width. Longitude is divided by the length of a latitude  
 332 circle.

333 *d. The combined effect of leakage and damping*

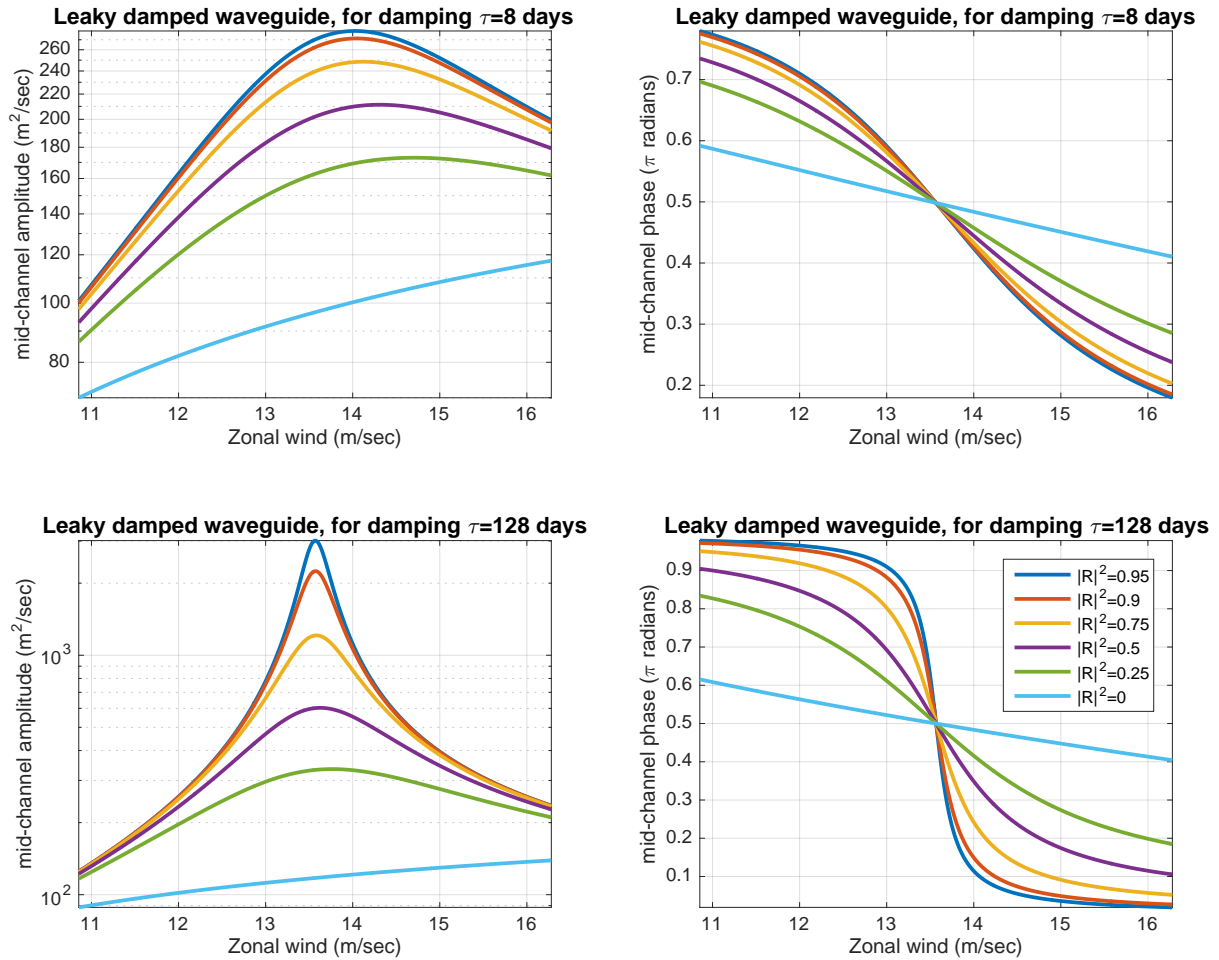
334 Fig. 6 shows the solution at mid-channel for Delta-function forcing at mid-channel, for the same  
 335  $|R|^2$  values shown in Fig. 3, for very weak (128 days) and stronger (8 days) damping rates. We  
 336 see that damping acts to widen the quasi-resonance curves, and to reduce the sensitivity to weak  
 337 amounts of leakage (e.g. the difference between the  $|R|^2 = 0.95$  to  $|R|^2 = 0.9$  curves is smaller for  
 338 stronger damping). We also see that as with the damped perfect waveguide case, strong damping  
 339 acts to shift the resonance peak to stronger zonal mean zonal winds (resonance occurs at larger  $U$   
 340 for a damping time scale of 8 days).

343 To further quantify the effects of damping and leakage when both exist, we examine the domain-  
 344 integrated eddy kinetic energy (EKE) budget. A similar analysis could be done for other conserved  
 345 quantities, e.g. enstrophy or wave activity, but we choose EKE because for a  $\delta$ -function forcing,  
 346 the PV perturbation is also a  $\delta$  function and we want to avoid  $\delta$ -squared terms. Multiplying the  
 347 PV equation 1 by the complex-conjugate streamfunction  $\psi^*$ , and taking a domain average, gives in  
 348 steady state (see Appendix B1):

$$\frac{\alpha}{\bar{u}} \left( EKE - \overline{u'\psi'}|_{-L} \right) + \overline{u'v'}|_{-L} = -f_o \overline{\tilde{h}v'(y')} \quad (22)$$

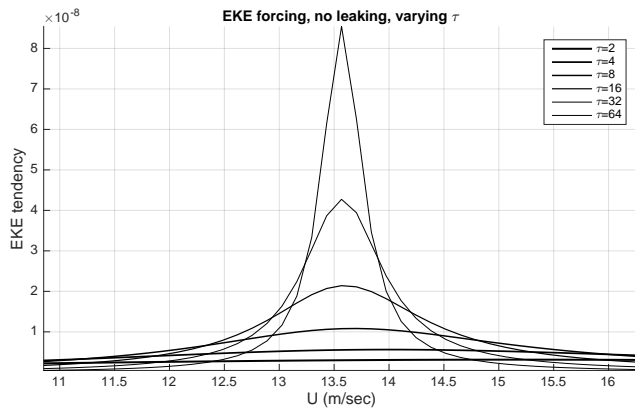
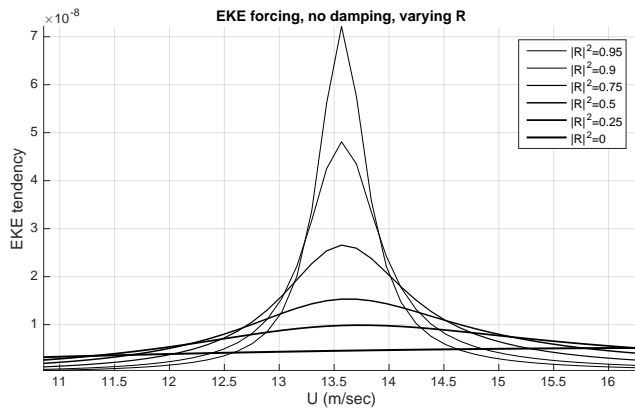
349 where EKE is the domain integrated eddy kinetic energy, and over-bar denotes a zonal mean, and  
 350  $\tilde{h}$  is the zonally-varying amplitude of the topographic  $\delta$ -forcing,  $h = \tilde{h}\delta(y - y')$ . Note that  $\tilde{h}$  has a  
 351 sinusoidal structure in the zonal direction,  $\tilde{h} = h_o e^{ikx}$ .

352 The equation tells us that EKE generation by the forcing (right hand side term) is balanced by  
 353 dissipation of EKE and leakage of wave activity out of the southern boundary (first and second  
 354 terms on the left hand side, respectively). The damping term includes a boundary contribution,  
 355 which is non-zero when both damping and leakage exist (recall that  $\psi'(-L) = 0$  when there is no  
 356 leakage), however, we find that it is at least an order of magnitude smaller than the other terms,  
 357 thus we can ignore it. An important point to note is that the forcing term depends on the zonal  
 358 phasing between the meridional wind anomaly and the topography. When there is no damping or  
 359 leakage, away from resonance,  $v'$  is in quadrature with the forcing ( $\psi'$  is in or out of phase with  
 360 the forcing), meaning there is no EKE generation, and all terms in the equation are zero. Right at  
 361 resonance, on the other hand,  $v'$  is in phase with  $h$ , so that the forcing does generate EKE, which,



341 FIG. 6. Same as figure 3, but for damped leaky channel, for two values of damping: Top - 128days. Bottom -  
 342 16 days. Mid channel amplitude is on the left, and the mid-channel phase is on the right.

362 in the the absence of leakage and damping, must lead to a temporal growth of EKE (no steady  
 363 state). Indeed, it is a known feature of resonant solutions that they give a linear amplitude growth  
 364 of modes which, off-resonance, are not growing. Fig. 7 shows the EKE forcing term for two sets  
 365 of runs, with varying degrees of leakage or damping. We see an opposite dependence of the EKE  
 366 forcing strength on the magnitude of leakage/damping, for modes near or away from resonance.  
 367 Near resonance, the EKE generation by the forcing is larger for smaller damping/leakage, while



371 FIG. 7. The EKE forcing term (RHS of equation 22), for a) the leaky inviscid runs shown in figure 3. b) the  
 372 damped non-leaky runs shown in figure 2.

368 well away from the resonance, this dependence changes, with a range of zonal wind values for  
 369 which there is a non monotonic change of the EKE forcing magnitude with damping or leakage,  
 370 with optimal forcing for a middle value.

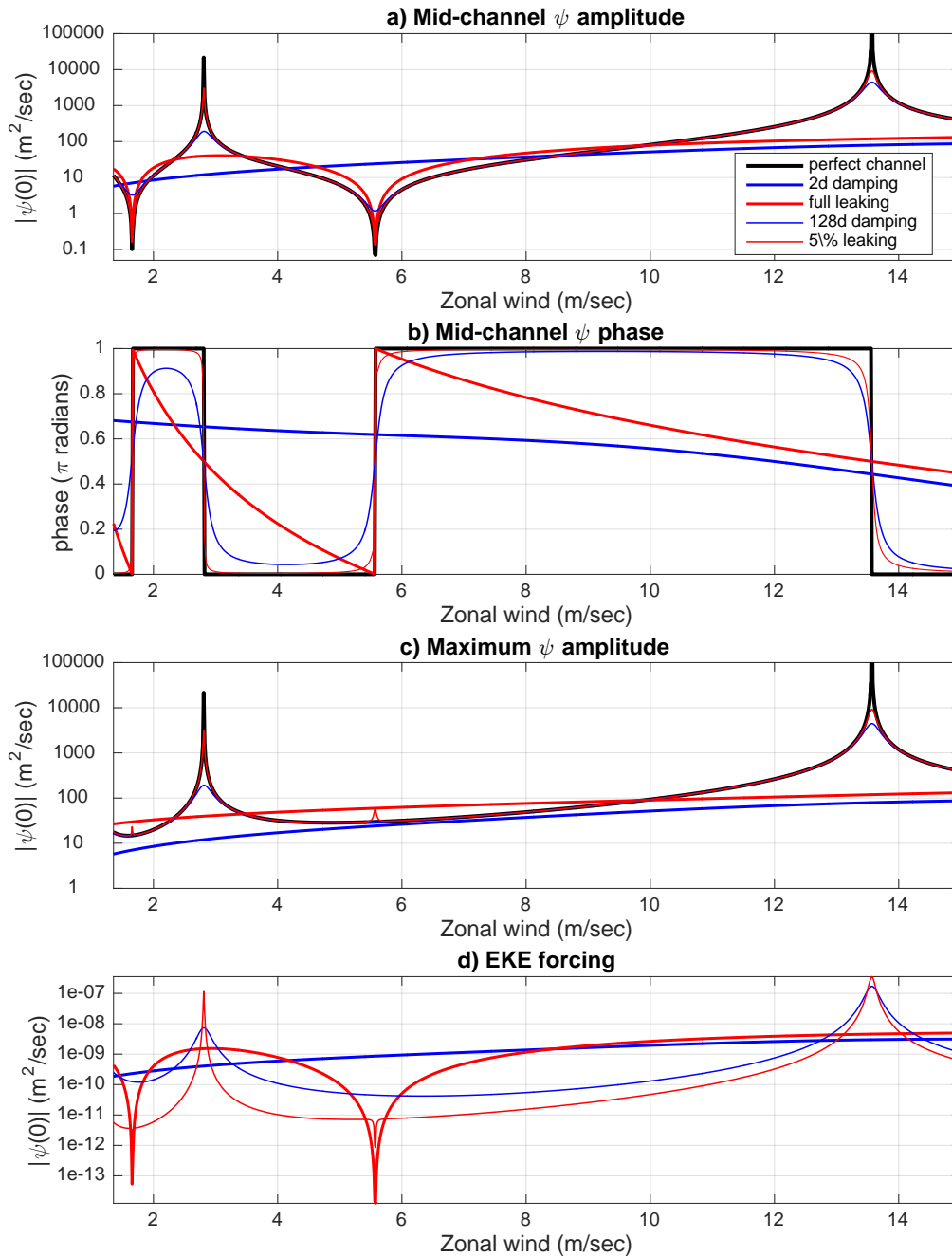


373 *e. Higher order resonances*

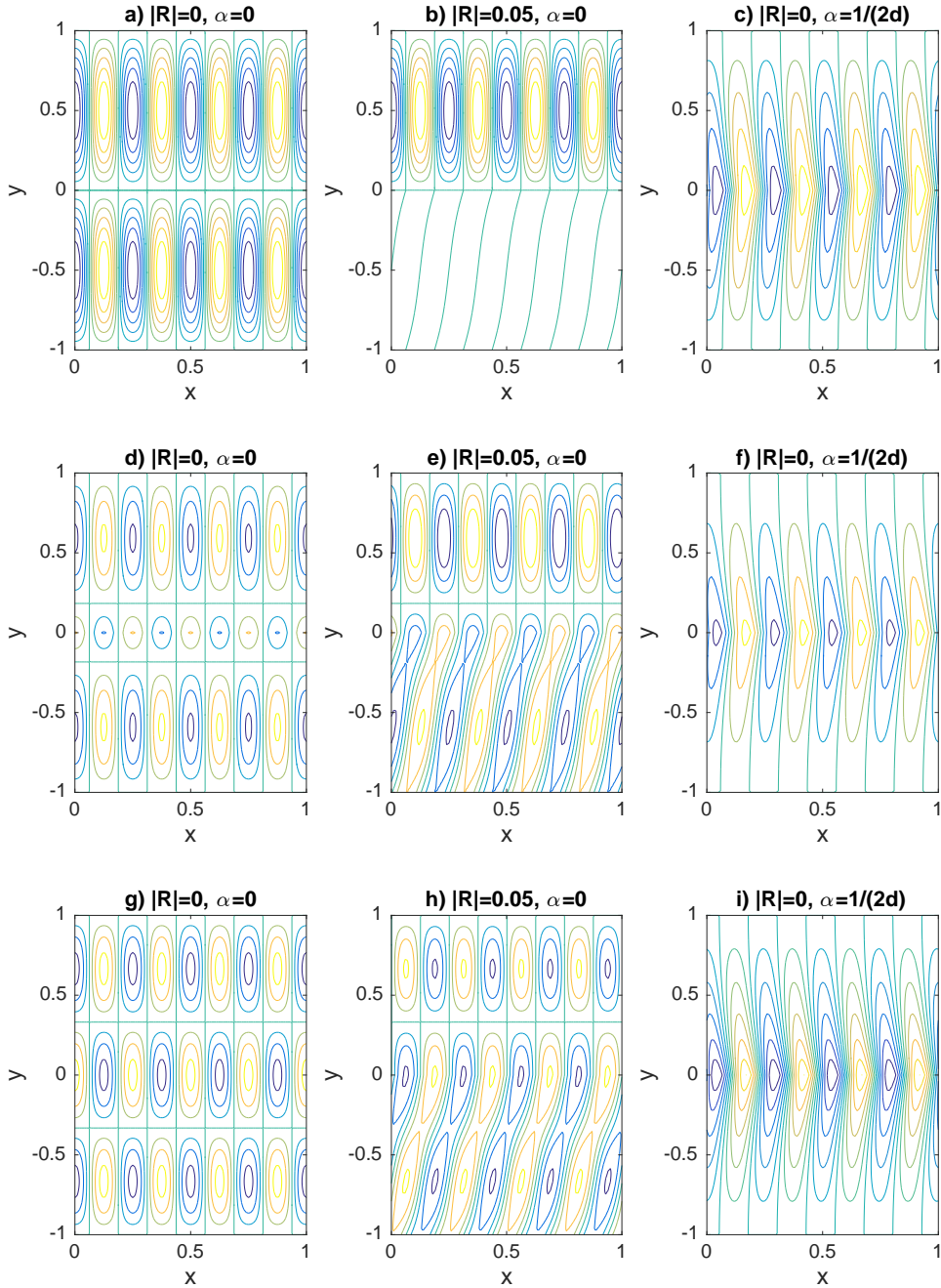
374 The results shown so far pertain to the main resonance of the model, for which the nondimensional  
375 meridional wavenumber  $n = l\frac{L}{\pi} = \frac{1}{2}$ , meaning exactly half a wave-length fits into the channel width.  
376 For lower values of  $U$ , however, for which the meridional wavenumber is larger (c.f. equation 5),  
377 higher order resonances appear, for larger values of  $n$ . Fig. 8 shows the mid-channel amplitude  
378 and phase for a few combinations of leakage and damping (shown for a narrower range of  $U$  values  
379 in figures 1, 2, 3). The perfect channel case (thick black curves) shows a weaker resonance at  
380  $U = 2.82ms^{-1}$ , and two "anti-resonance" points at which the amplitude decreases sharply towards  
381  $U = 5.56ms^{-1}$  and  $U = 1.66ms^{-1}$ . An examination of the horizontal structure of the waves shows  
382 that the second resonance occurs for  $n = \frac{3}{2}$ , while the "anti-resonance" points occur for  $n = 1$  and  
383  $n = 2$ , respectively.

389 Fig. 9, shows the horizontal structure of  $\psi'$  for the second resonance (plot g) and the first "anti-  
390 resonance" (plot a), as well as for a  $U$  value in between these two points (plot d). At resonance,  
391 the amplitude peaks at mid-channel. At "anti-resonance", on the other hand, the wave-node falls at  
392 mid-channel. Thus, while these meridional modes are free solutions of the homogeneous equation  
393 which also satisfy the boundary conditions, they are not really resonant, because the forcing does  
394 not project onto them in a way which keeps nudging them to grow once the mode has been  
395 established. This, however, is an artifact of the localized nature of the forcing at mid-channel, and  
396 we expect these modes to become resonant if the forcing will be placed off the channel center, or  
397 for a wider forcing.

404 Examining the effects of damping or leakage on the second resonance, we see that although  
405 the effect of damping and leakage at the secondary resonance looks similar when looking at the  
406 mid-channel amplitude and phase (red and blue curves in Fig. 8), it is very different on the mode  
407 structure (Fig. 9g,h,i). While strong damping makes the mode look similar to the primary resonance  
408 (compare figures 9g and 5c), leakage of wave activity from the southern boundary changes the  
409 structure of the wave only south of the forcing, where the main effect is westward phase tilt away  
410 from the forcing, along with an amplitude modification. The differences between leakage and  
411 damping is even more pronounced for the "anti-resonance" modes. While the special nature of  
412 this mode disappears for strong damping (the thick blue curves in Fig. 8 show no special behavior  
413 near these points), strong leakage shows a minimum mid-channel amplitude and EKE forcing,



384 FIG. 8. The solution as a function of  $U$ . Shown are: a) the mid-channel streamfunction amplitude, b) the  
 385 mid-channel streamfunction phase (in units of  $\pi$ ), c) the channel-maximum streamfunction amplitude, d) the EKE  
 386 forcing. Shown are results for a perfect channel (no leakage or damping, thick black), full leakage no damping  
 387 ( $|R|^2 = 0, \alpha = 0$ , thick red), strong damping no leakage ( $|R|^2 = 1, \alpha = 1/(2days)$ , thick blue), weak damping no  
 388 leakage ( $|R|^2 = 1, \alpha = 1/(128days)$ , thin blue), weak leakage no damping ( $|R|^2 = 0, \alpha = 0$ , thin red).



398 FIG. 9. The latitude-longitude structure of the stream-function for the second resonance, at  $U = 5.56 m s^{-1}$  (top  
 399 row), for  $U = 4 m s^{-1}$ , a mid value between the second and third resonance (middle), and the third resonance, at  
 400  $U = 2.82 m s^{-1}$  (bottom row) for the following runs: a,d,g) A perfect channel (no damping and no leakage, see  
 401 Figure 1). b,e,h) A leaky channel with  $|R|^2 = 0.05$  and no damping (see Figure 3). c,f,i) A strongly damped  
 402 channel ( $\tau = 2d$ ) with no leakage (see Figure 2). Latitude is in units of  $L$ , the half-channel width. Longitude is  
 403 is divided by the length of a latitude circle.

414 and a  $\pi$  phase shift across these points (the thick red curves in Fig. 8). The  $\pi$  phase shifting is  
415 clearly understood when examining the horizontal structure of the streamfunction at the first anti-  
416 resonance for the perfect channel case ( $U = 5.56\text{ms}^{-1}$ , Fig. 9a), and for a slightly weaker zonal wind  
417 ( $U = 4.0\text{ms}^{-1}$ , Fig. 9d) - as  $U$  decreases, the meridional wavelength goes from half a wavelength  
418 being larger, to being smaller than half a channel width, resulting in a small oppositely phased  
419 peak at the channel center for the latter. Note that the anti-resonant mode for a perfect channel has  
420 a symmetric structure around the forcing, thus, it is not a pure standing mode structure with a node  
421 and a meridional  $\pi$  phase shift in mid channel. This suggests the forced mode should be viewed as  
422 consisting of two separate modes, to both sides of the channel. When the channel is fully leaky,  
423 the equatorward mode assumes a propagating wave structure (a westward phase tilt towards the  
424 southern channel wall), and at the anti-resonance point, where the EKE forcing becomes zero due  
425 to destructive interference, the equatorward part disappears (Fig. 9b).

426 The importance of these anti-resonance points is in pointing out a very strong sensitivity of the  
427 wave structure south of the forcing to the channel and forcing parameters, when the damping is  
428 weak enough. If relevant for more realistic settings, this might have implications for subtropical  
429 waves.

#### 430 **4. Numerical solutions**

431 The solutions presented above allow a theoretical study of the linear stationary-wave response  
432 and resonance, in a very idealized channel, with a meridionally-localized forcing. We can use  
433 these analytical solutions to construct the solution to an arbitrary meridional forcing structure  
434 using equation 7, however, these solutions cannot be used when the zonal wind varies with latitude.  
435 Under more realistic settings of a jet-stream waveguide, the membrane-like leaky channel wall  
436 represents the subtropical turning latitude, which will allow partial tunneling to the tropics where  
437 the waves are damped. A systematic study the effect of leakage on quasi-resonance under more  
438 realistic conditions requires using a numerical model. To incorporate leakage, we will examine  
439 the numerical solution of a stationary wave channel model in which we have a sponge layer in the  
440 southern part of the domain to represent leakage.

441 The model solves equation 1 for meridionally varying zonal mean zonal flow  $\bar{u}(y)$ , damping  
 442  $\alpha(y)$ , and topography  $h(x, y)$ . Examining the response to the  $k$ 'th zonal Fourier component  $h_k(y)$ ,  
 443 we get the following equation for the stream-function response  $\psi_k$ :

$$\left(1 - \frac{i\alpha}{k\bar{u}}\right) \frac{d^2\psi_k}{dy^2} + \left[\frac{\bar{q}_y}{\bar{u}} - \left(1 - \frac{i\alpha}{k\bar{u}}\right)k^2\right] \psi_k = -f_o h_k. \quad (23)$$

444 As boundary conditions we specify  $\psi_k = 0$  at the northern and southern boundary of the compu-  
 445 tational domain. Eq. (23) is discretized with the help of standard centered differences, and the  
 446 resulting matrix equation is solved using the python package SciPy. In the remainder of this paper  
 447 we assume  $\bar{u} > 0$  throughout the interior of the domain such that (23) is free of singularities. For  
 448 later reference we define the square of the stationary wavenumber

$$K_s^2 = \frac{\bar{q}_y}{\bar{u}}, \quad (24)$$

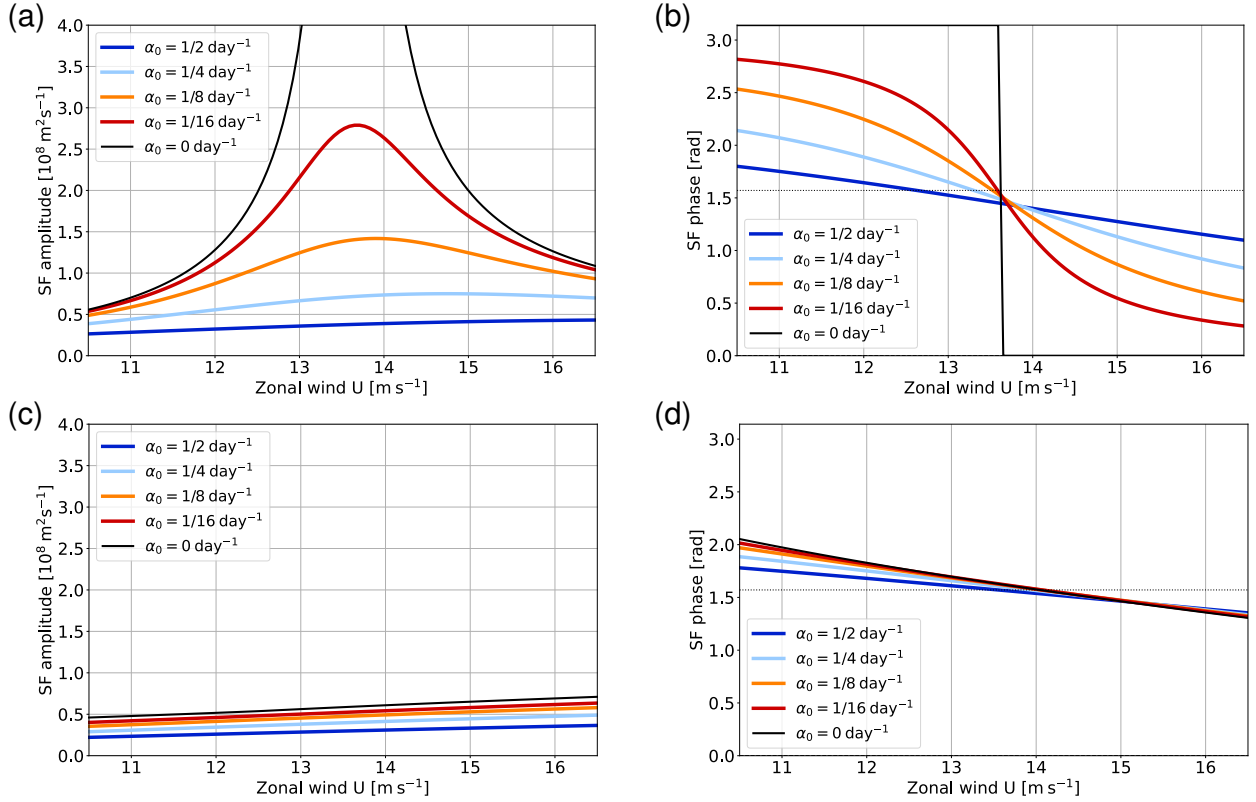
449 and the dimensionless stationary wavenumber

$$\hat{K}_s = \frac{L_x}{2\pi} \sqrt{K_s^2}. \quad (25)$$

450 Our standard configuration is chosen very similar to that from the analytical treatment. In  
 451 particular, we use a channel width of  $4 \times 10^3$  km extending from  $Y_{\min} = -2 \times 10^3$  km to  $Y_{\max} =$   
 452  $-2 \times 10^3$  km and a (dimensionless) zonal wavenumber  $s = 4$ . The meridional profile of the  
 453 orography is specified as

$$h_k(y) = h_0 \cos^2\left(\frac{\pi y}{h_N - h_S}\right) \quad (26)$$

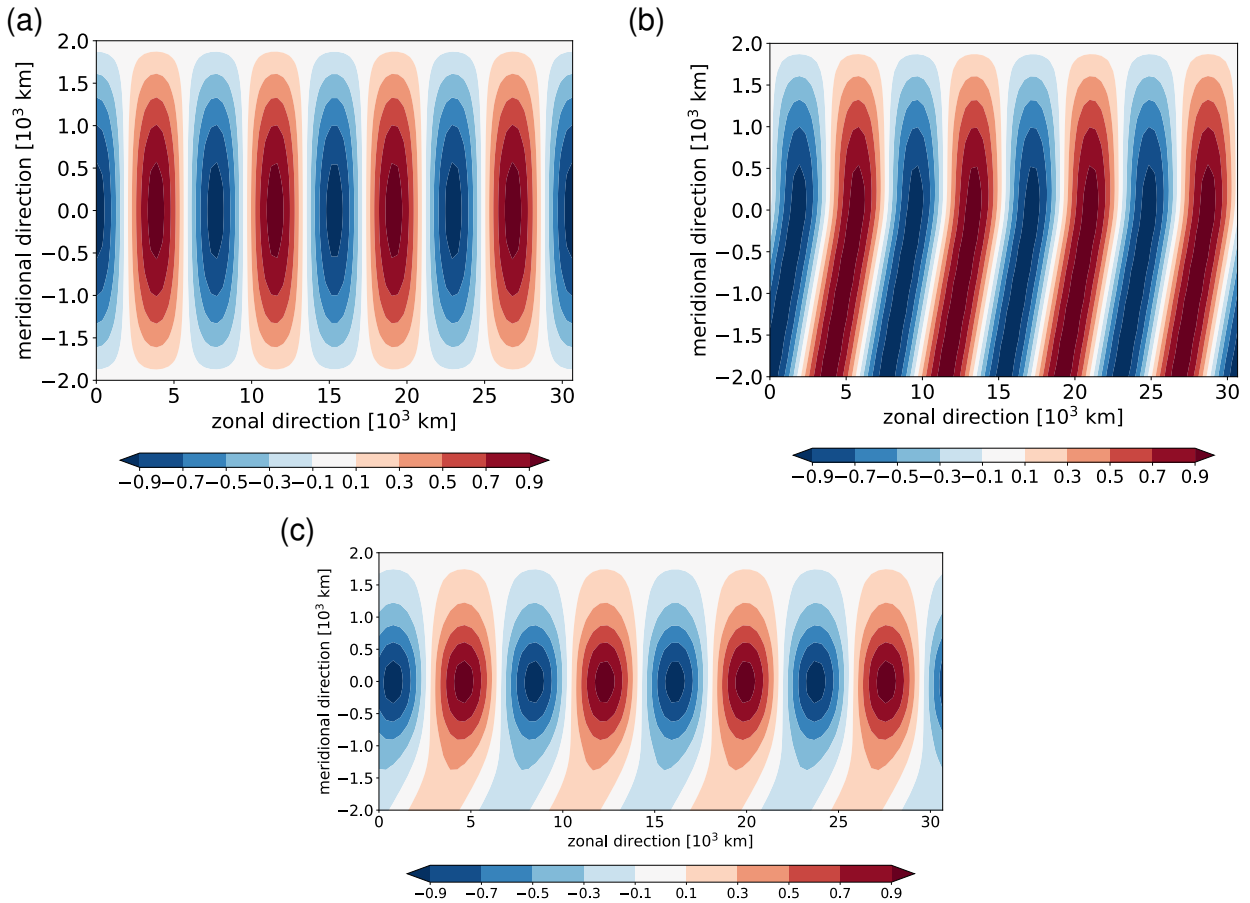
454 for  $h_S \leq y \leq h_N$ , and zero otherwise, with  $h_S = -500$  km,  $h_N = 500$  km, and  $h_0 = 1$ . By design,  
 455 the meridional width of  $h_k(y)$  is much smaller than the channel width, such that it is close to a  
 456 delta function at  $y = 0$  that we considered in our analytical treatment. At the same time, it is wide  
 457 enough such that it is represented by a fair number of grid points and, hence, properly resolved in  
 458 our numerical treatment.



468 FIG. 10. Amplitude and phase behavior of the complex function  $\psi_k(y)$  for the numerical solution in a channel  
 469 with a constant basic state zonal wind  $U$ , as a function of  $U$ . The (a) amplitude (maximum value of the  
 470 streamfunction  $\psi'$  in the channel) and (b) phase, for the fully reflecting boundaries. (c) amplitude and (d) phase  
 471 for a sponge-leakage setup at the southern boundary of the notional channel (cf. the dashed line in Fig. D1b). In  
 472 all panels, the different lines refer to different values of the constant damping coefficient  $\alpha_0$ .

### 459 a. Exploring boundary conditions

460 Our boundary condition for  $\psi_k$  corresponds to perfect reflection at the northern and southern  
 461 boundary of the computational domain. For illustration we compute the numerical solution  
 462 for a constant  $\bar{u} = U$  and various values of a constant damping coefficient  $\alpha = \alpha_0$ , as this allows  
 463 comparison with our analytical solution. The result is provided in the top row of Fig. 10. Apparently,  
 464 there is a strong similarity to our no-leakage analytical solutions (Fig. 2), showing a resonant peak  
 465 at  $U = 13.6 \text{ m s}^{-1}$  and a jump in the phase of  $\psi_k$  for the undamped case. For increasing damping,  
 466 the behavior gets increasingly smoother as expected. The pattern of the streamfunction is shown  
 467 in Fig. 11a.



473 FIG. 11. Normalized streamfunction from the numerical solution with  $s = 4$  and no damping for three different  
 474 model configurations. (a) Standard configuration with perfectly reflecting boundaries and a constant basic wind  
 475  $U = 13.6 \text{ m s}^{-1}$ ; (b) same as panel (a) except that the southern boundary of the notional channel has effectively  
 476 been replaced by a sponge-leakage condition; (c) configuration with the jet-like wind profile from Fig. 13a with  
 477 a sponge-leakage southern boundary condition.

478 In our next step we aim to simulate a channel that allows wave activity to leak out at the southern  
 479 boundary. Numerically this can be achieved by a so-called sponge in part of the computational  
 480 domain; more specifically, the damping coefficient is judiciously specified as a function of latitude  
 481 such that the wave activity is reduced to zero before the wave reaches the boundary; at the same  
 482 time, the spatial increase of the damping coefficient must be gradual enough such that it does  
 483 not lead to spurious reflection. To construct such a sponge layer, we use two different types of  
 484 sponges, one with a cosine-like dependence on latitude and another one with a quasi-exponential

485 dependence on latitude. The former is used for heuristic purposes, while the latter is meant to be  
 486 used in all final applications. Details about the sponge design are provided in appendix B1.

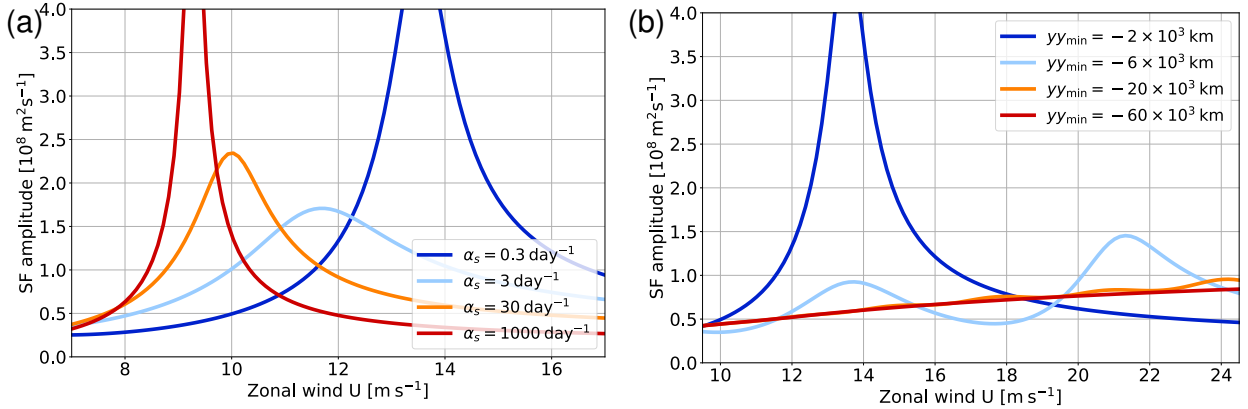
487 Although generally the sponge technique is well established, we report here about a few experi-  
 488 ments with our cosine-sponge (see Fig. D1a) in order to learn how to properly design the sponge  
 489 for our purposes. In this set of experiments the sponge-free area is inviscid, i.e.,  $\alpha_0 = 0$ . Varying  
 490 the amplitude of the sponge  $\alpha_s$  between  $0.3 \text{ day}^{-1}$  and  $1000 \text{ day}^{-1}$ , we obtain the result shown in  
 491 Fig. 12a. The behavior for  $\alpha_s = 0.3 \text{ day}^{-1}$  is very similar to that shown in Fig. 10a (black line),  
 492 indicating that the sponge is very weak and does not have a strong influence. By contrast, the  
 493 result for the very strong sponge amplitude ( $\alpha_s = 1000 \text{ day}^{-1}$ , red line) suggests that there is spu-  
 494 rious reflection due to the strong spatial increase of the damping coefficient, resulting in resonant  
 495 behavior at a lower value of  $U$  compared to  $\alpha_s = 0.3 \text{ day}^{-1}$ . The two intermediate values of  $\alpha_s$   
 496 (orange and light blue line) show a weaker resonant peak as well as a gradual shift in the location  
 497 of the resonance. We interpret this as a situation in which the damping from the sponge effectively  
 498 reduces the resonant amplitude, while the steepness of the sponge increasingly shifts the location  
 499 of the resonance.

500 The shift in the location of the resonance to lower values of  $U$  for increasing sponge amplitudes  $\alpha_s$   
 501 can be explained as follows. For a constant zonal basic wind and no damping, one expects resonance  
 502 when the zonal and the meridional wavenumber satisfy  $k^2 + l^2 = \beta/U$ . Using the dimensionless  
 503 wavenumbers  $s$  and  $n$ , one obtains

$$U_{\text{res}} = \frac{\beta}{s^2 \left(\frac{2\pi}{L_x}\right)^2 + n^2 \left(\frac{\pi}{L_y}\right)^2} \quad (27)$$

504 as a prediction for the resonant value of  $U$ . The large-amplitude sponge effectively leads to  
 505 reflection at the steep part of the sponge, which is inside the computational domain; thus, it  
 506 effectively reduces the value of  $L_y$ , and this leads to a reduction of  $U_{\text{res}}$  according to (27).





507 FIG. 12. Resonant behavior for  $\alpha_0 = 0$  and various configurations of the cosine-shaped sponge (see Fig. D1a).  
 508 Both panels show the maximum of the streamfunction  $\psi'$  in the sponge-free area as a function of the constant  
 509 basic state zonal wind  $U$ , and the four lines in each panel represent the result for different parameter combinations  
 510 that vary the strength and the steepness of the sponge. (a) Fixed channel width with  $Y_{\min} = -2 \times 10^3 \text{ km}$ , but  
 511 varying values for the sponge amplitude  $\alpha_s$ ; (b) fixed sponge amplitude  $\alpha_s = 0.3 \text{ day}^{-1}$ , but varying channel  
 512 width corresponding to different values of  $Y_{\min}$ .

513 There is an alternative way to increase the strength of the sponge that does not necessitate an  
 514 increase of sponge amplitude: namely by keeping the sponge amplitude at a fairly low value  
 515 ( $\alpha_s = 0.3 \text{ day}^{-1}$ ) and, instead, extending the computational domain further towards the south. The  
 516 results of this set of experiments in shown in Fig. 12b. The curve for  $Y_{\min} = -2 \times 10^3 \text{ km}$  (dark  
 517 blue) is identical to the dark blue line in Fig. 12a (note that the two panels cover a different  
 518 range of values for  $U$ ). Increasing the channel width (light blue line) shifts the location of the dominant  
 519 resonance towards a larger value of  $U$  (namely  $U \approx 21.3 \text{ m s}^{-1}$ ) and lowers the amplitude of the  
 520 resonant peak owing to the increased damping with the more extended sponge. At the same time,  
 521 a secondary resonant peak appears at  $U \approx 13.7 \text{ m s}^{-1}$ , corresponding to the second meridional  
 522 mode  $n = 2$ . Increasing the channel width even further (orange and red lines) keeps shifting the  
 523 corresponding resonant peaks to larger values of  $U$  and makes even more peaks visible in the  
 524 displayed range of values; however, at the same time the damping from the more extended sponge  
 525 reduces the resonant peaks such that there is no longer any visible resonant behavior in the dark  
 526 red curve. We conclude that the sponge design corresponding to the red line in panel b effectively  
 527 simulates a fully-leaking boundary at  $y = -2 \times 10^3 \text{ km}$ .

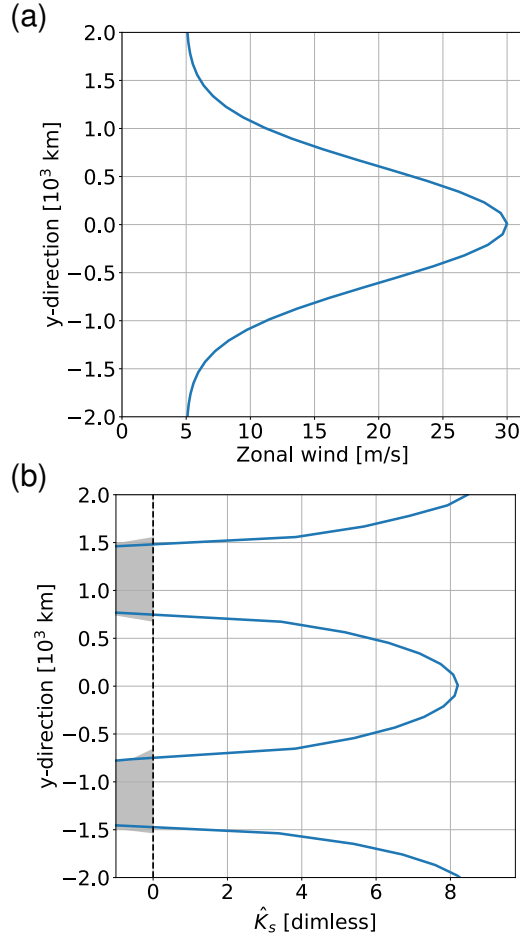
528 Having gained intuition into the sponge design with the help of the cosine-like sponge, we now  
 529 switch to the quasi-exponential sponge, because the latter is better suited to obtain an efficient  
 530 sponge. In addition, we now keep the area between  $y = -2 \times 10^3$  km and  $y = 2 \times 10^3$  km free of any  
 531 sponge; at the same time we extend the computational domain southward to  $Y_{\min} = -20 \times 10^3$  km  
 532 and use a value of  $\alpha_s = 1 \text{ day}^{-1}$ . This sponge design is meant to be final and will be used in the  
 533 remainder of this section.

534 The resulting behavior is shown in the bottom row of Fig. 10; it is drastically different from the  
 535 behavior for the fully reflecting boundaries (shown in the top row of the same figure). The reason  
 536 for this stark difference is that our quasi-exponential sponge effectively simulates a fully-leaking  
 537 boundary at  $Y_{\min} = -2 \times 10^3$  km, and apparently this eliminates any hint of resonant behavior in  
 538 the amplitude and phase dependence on the zonal wind  $U$ , similar to the analytical solution for  
 539 a fully-leaking channel (c.f. the  $|R|^2 = 0$  lines in Fig. 3). The pattern of the streamfunction for  
 540  $U = 13.6 \text{ m s}^{-1}$  is provided in Fig. 11b: northward of the forcing (i.e., for  $y > 0$ ), the pattern looks  
 541 quite like in the perfectly reflecting case (cf. Fig. 11a); however, southward of the forcing (i.e., for  
 542  $y < 0$ ), there is a clear phase tilt consistent with southward propagation of wave activity. This is  
 543 similar to the analytical solution (not shown, but see the similar structure for the case with 95%  
 544 leakage in Fig. 5b.)

#### 545 *b. Basic state with a Gaussian jet*

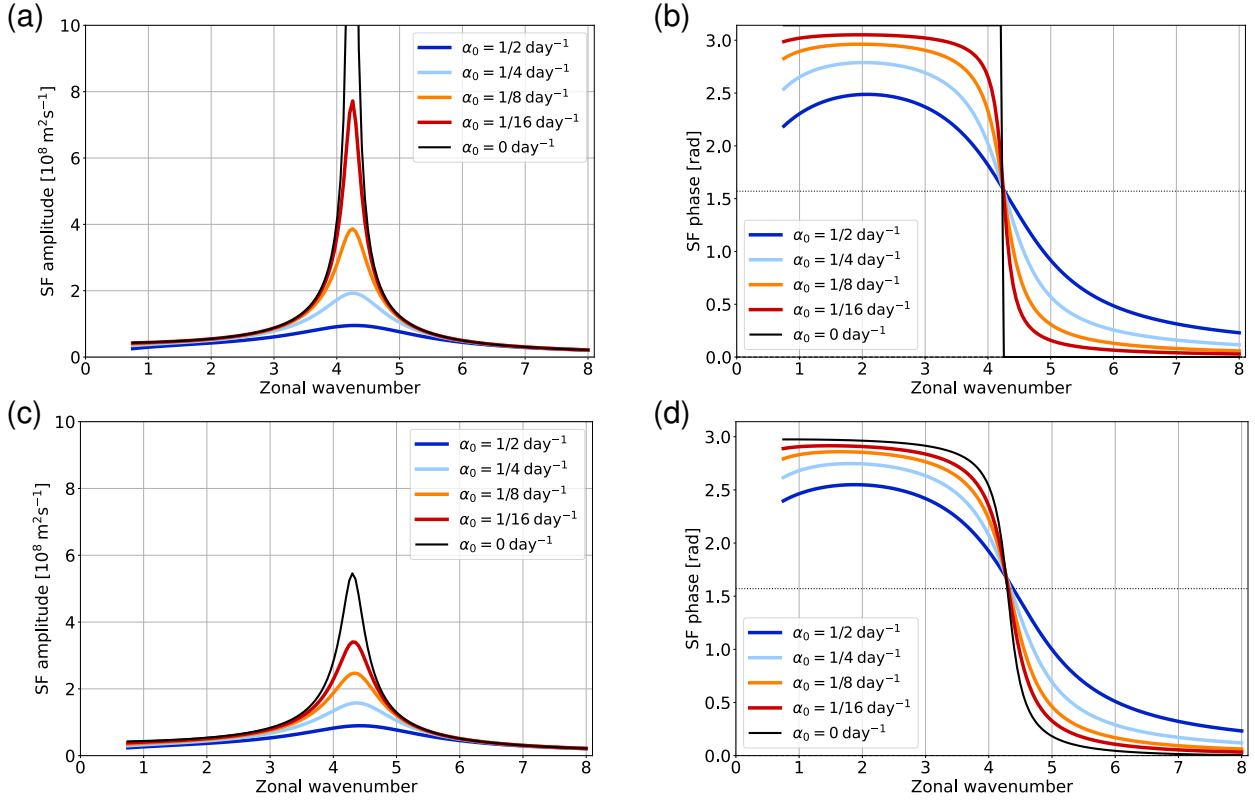
546 We now make use of the numerical model in order to investigate a basic state wind profile that  
 547 varies with latitude. More specifically, we start with a constant zonal wind with strength  $5 \text{ m s}^{-1}$   
 548 and superimpose a Gaussian jet with a standard deviation of 600 km and an amplitude of  $25 \text{ m s}^{-1}$ .  
 549 As a result, we obtain a jet-like profile with the strength of the jet reaching  $30 \text{ m s}^{-1}$  at  $y = 0$   
 550 (Fig. 13a). The profile of the corresponding dimensionless stationary wavenumber  $\hat{K}_s$  is shown in  
 551 in Fig. 13b. According to WKB theory, the regions where  $\hat{K}_s > s$  are wave propagation regions,  
 552 where the solution assumes a wave-form, and there regions where  $\hat{K}_s < s$  are wave-*evanescence*  
 553 regions where the solutions are exponential. The latitudes at which  $\hat{K}_s = s$  are turning surfaces,  
 554 from which the waves are reflected back (obtained formally by asymptotic matching of the WKB  
 555 solutions on both sides of the turning latitudes to an Airy function, c.f. Tung and Lindzen 1979b).  
 556 The jet profile has a distinct relative maximum of  $\hat{K}_s$  at  $y = 0$ , with  $\hat{K}_s$  decreasing to zero and giving

557 way to imaginary values in the northern and southern parts of the channel, and returning to real  
558 values near the channel walls, suggesting the wave-geometry of the problem is an inner waveguide  
559 in which we force the wave, flanked by evanescent regions, which are further flanked by additional  
560 external wave propagation regions which are bounded by the channel walls. If we ignore the outer  
561 wave propagation regions (by assuming, for example, that the flanks of the jet are modified to make  
562 the imaginary  $\hat{K}_s$  regions essentially infinite), WKB theory suggests the waves would be fully  
563 reflected from turning latitudes, for any wavenumber  $1 \leq s \leq 8$ , resulting in a perfect waveguide  
564 with zero leakage (Hoskins and Ambrizzi 1993; Petoukhov et al. 2013; Kornhuber et al. 2017b).  
565 With the additional wave-propagation regions, some of the wave activity excited in the main  
566 waveguide will tunnel to walls (the exact amount depending on how wide the wave-*evanescence*  
567 region is relative to the exponential decay rate), from which they will be reflected back, yielding  
568 essentially a perfect waveguide. If on the other hand, we replace the southern wall with a sponge  
569 as constructed in the previous section, the wave activity which manages to tunnel out of the main  
570 waveguide southward will be fully absorbed, resulting, essentially in a leaky waveguide. Note that  
571 unlike the analytical model, the amount of wave activity leakage to the sponge depends on the  
572 structure of  $\hat{K}_s$  and on  $s$ , and is thus not something we can control.



573 FIG. 13. Model configuration with a jet-like profile for the basic state wind. Panel (a) shows the zonal wind  
 574  $\bar{u}(y)$ , panel (b) shows the dimensionless stationary wavenumber  $\hat{K}_s(y)$ ; negative values of  $\hat{K}_s$  (gray shading)  
 575 represent minus the imaginary part of  $\hat{K}_s$ .

576 To test this, we will evaluate the numerical solutions of this jet profile, with and without the  
 577 sponge-leakage, for different values of  $\alpha_0$ . In this set of experiments, we need to change our strategy  
 578 for probing resonant behavior, because the wind is not a constant any longer. Instead, we simply  
 579 vary the (dimensionless) zonal wavenumber  $s$ , which for the current purpose is not even limited to  
 580 integer numbers. The result for the model configuration without sponge-leakage is shown in the  
 581 top row of Fig. 14. Apparently, there is a resonant peak at  $s \approx 4.3$  with the amplitude (panel a) of  
 582 the undamped solution going to infinity and the phase (panel b) changing discontinuously from  $\pi$   
 583 to 0. Qualitatively, this behavior is very similar to the behavior shown in Fig. 10a and b, except  
 584 that the method of probing for resonance has changed.



585 FIG. 14. Resonant behavior in case of our jet-like profile of the basic state wind (see Fig. 13). Top row: perfectly  
 586 reflecting surfaces at the northern and southern boundary; bottom row: like top row except that the southern  
 587 boundary condition has effectively been replaced by sponge-leakage. The left column shows the maximum value  
 588 of the streamfunction  $\psi'$  as a function of  $s$ ; the right column shows the phase of the complex function  $\psi_k$  at  $y = 0$   
 589 as a function of  $s$ . The different colored lines refer to different values of the constant damping coefficient  $\alpha_0$ .

590 We now repeat this simulation except that the southern boundary is replaced by the quasi-  
 591 exponential sponge in a southward extension of the computational domain as detailed above and  
 592 in the appendix. The result is shown in the bottom row of Fig. 14. As before, there is a clear peak  
 593 of wave amplitude at  $s \approx 4.3$  (panel c), which is likewise mirrored in the phase behavior (panel  
 594 d). However, for the inviscid case ( $\alpha_0 = 0$ , black lines) our solution is not consistent with perfect  
 595 resonance as predicted for a perfect channel: the amplitude does not tend towards infinity and the  
 596 phase does not show a discontinuity. Instead, the behavior is broadly consistent with the analytical  
 597 leaky-waveguide solutions (e.g. Fig. 3), as expected if indeed the southern turning latitude is not  
 598 perfectly reflecting, but rather it allows some of the wave activity to leak out of the jet-waveguide.

599 The pattern of the streamfunction (Fig. 11c) is also qualitatively like the analytical leaky waveguide  
600 solution (Fig. 5b): it shows a phase tilt close to the southern boundary, indicating leakage of wave  
601 activity at  $y = -2 \times 10^3$  km. As expected, some of the wave activity has tunneled through the area  
602 with imaginary  $\hat{K}_s$ , where it is slowly absorbed by the sponge essentially allowing wave activity to  
603 escape out towards  $-\infty$ .

604 Finally we compare the inviscid solution with sponge-leakage (black lines in Fig. 14c and d)  
605 with the damped solutions for a fully reflecting boundary (colored lines in Fig. 14a and b). This  
606 comparison suggests that the leakage of our jet-like profile is roughly equivalent to a damping with a  
607 12 day time-scale. From comparing the phase change in tables 1 and 2, a 12 day damping time scale  
608 is equivalent to about 70% leakage (30% reflectivity). Again, arguments which assume perfectly  
609 reflecting boundaries on the basis of the existence of two turning latitudes are quantitatively  
610 deficient by a considerable margin.

## 611 5. Discussion

612 In this work we examine the influence of a specified amount of wave activity leakage to the  
613 equator, on the resonant response to idealized forcing. The main novelty of our analysis is that we  
614 derive an analytical solution with which we can quantitatively examine the effects of a specified  
615 amount of waveguide-leakage, and compare it to the effects of damping. The ability to explicitly  
616 specify the amount of wave activity leakage, and get an analytical solution comes at the price  
617 of large simplification of the problem. We thus use a numerical model, for which we carefully  
618 construct a fully-leaking southern boundary, to further examine the effect of leakage in a similar  
619 setup but with a meridionally varying jet, rather than a constant zonal mean zonal wind.

620 Using our analytical solution, we examine the resonant response to a forcing placed at the  
621 center of the waveguide, by varying the zonal mean flow incrementally across resonance. We  
622 vary separately and together, the amount of wave activity leakage (through the southern boundary  
623 condition) and the linear damping, to study their effect on the resonance behavior. Following are  
624 the main points to note about the analytical solution.

- 625 1. For inviscid flow on a perfect waveguide (no leakage to the equator), we get a sharp increase  
626 in the wave amplitude and a sharp  $\pi$ -phase change across resonance. Leakage acts to decrease  
627 the resonant amplification, and make the phase change more gradual across the resonance,

628 so that at 100% leakage the solution shows no amplification or increased phase change at the  
629 resonance values. Strong damping, on the other hand, weakens the response, which shifts  
630 towards larger zonal wind values. It does not eliminate the resonant response as full leakage  
631 does.

632 2. For a small wave activity leakage of magnitude  $1 - |R|^2$ , the influence is similar to a damped  
633 wave with a damping rate corresponding to a loss of  $1 - |R|^2$  of the wave activity over the time  
634 it takes a wave packet to propagate the channel width back and forth with the theoretical group  
635 speed (calculated assuming no damping). For larger leakage, this gives an under-estimate of  
636 the effective damping rate.

637 3. The latitude-longitude structure of the response is very different between damping and leakage.  
638 Damping introduces a westward phase tilt on both sides of the forcing, corresponding to an  
639 outward-directed decaying EP flux (inward-directed momentum flux), which reduces to zero  
640 at the rigid-lid boundaries. Leakage from the southern boundary, on the other hand, introduces  
641 a westward phase tilt towards the southern boundary, south of the forcing, with no phase tilt  
642 poleward of it. This corresponds to no EP flux polewards of the forcing and a constant negative  
643 (southward) EP flux south of the forcing.

644 4. In addition to a main resonance peak, which occurs for the gravest meridional mode, we also  
645 get higher order resonance peaks, corresponding to the higher order meridional modes (we  
646 explicitly show the second mode peaks). We also find anti-resonance points, for which the  
647 meridional modes exactly cancel the forcing, resulting in a sharp amplitude decrease as the  
648 zonal mean zonal wind is gradually varied. The anti-resonance behavior involves a significant  
649 change in the meridional structure of the waves, specifically, the formation and shifting of  
650 meridional nodes, thus their appearance is sensitive to the latitude at which we sample the  
651 wave amplitude. In addition, the anti-resonance will vanish if the forcing has a meridional  
652 extent rather than a  $\delta$ -function structure.

653 5. The above results can be reproduced by changing the zonal wavenumber or the channel width,  
654 rather than the zonal mean zonal wind value.

655 6. The analytical Green’s Function solution can be extended to an arbitrary forcing structure,  
656 by using a Fourier transform for a zonally-varying forcing, and meridionally convolving the  
657 solution with the forcing for latitude variations.

658 To check if the main results hold for a meridionally varying jet, we implement leakage into  
659 a numerical  $\beta$ -plane channel model with linear damping, by adding a wide sponge layer to its  
660 southern edge, and exploring different zonal wind profiles and linear damping values. To check for  
661 a resonant response in these runs, we vary the zonal wavenumber. Following are the main results  
662 and conclusions from the numerical solutions:

- 663 1. Wave activity leakage to the equator can be implemented into the channel model by adding a  
664 gradual enough and wide enough sponge layer to the southern part of the domain. We verify  
665 the effect of such sponge-leakage by testing it on a constant zonal wind setup, and verifying  
666 that resonance disappears in a similar manner to the analytical solution with full leakage.
- 667 2. Adding a zonal jet to the model with no sponge reproduces a resonant behavior. However, when  
668 sponge-leakage is implemented at the southern boundary, outside the jet, beyond its southern  
669 flank, the resonance sharpness is considerably reduced, implying that the jet waveguide is  
670 leaky. Refractive index-based estimates that identify so-called turning surfaces, but don’t take  
671 leakage into account (e.g. Petoukhov et al. 2013, and following studies), may overestimate the  
672 potential for resonance by a considerable margin.

673 The above solutions have allowed us to quantify and characterize the influence of wave-activity  
674 leakage to the equator in very idealized setups. More specifically, a rough estimate based on  
675 comparing the responses of the jets in the numerical runs with southern-boundary sponge-leakage  
676 and a wall, suggests the leakage of the jet is equivalent to a damping time scale of 12 days. In our  
677 analytical solution, a 12-day damping corresponds to a leakage of about 70% of the wave activity.

678 There are a few important subtleties to note. In the analytical model setup, we specify the  
679 reflection coefficient  $|R|$ , where  $1 - |R|^2$  is the *fraction* of wave activity that leaks out to the equator.  
680 Given, however, that the wave source is part of the solution, as it depends on the phasing between  
681 the wave and the topography, deducing  $|R|$  from the wave solution itself involves solving a nonlinear  
682 equation, the form of which depends on the specifics of the forcing. Thus we were not able to  
683 derive a simple methodology to explicitly calculate the leakage from a given wave solution in a



684 general circulation model or reanalysis for which the explicit forcing effect on the stream-function  
685 is not straightforward to obtain. Also, in the numerical solution with sponge-leakage and a jet, the  
686 amount of leakage depends on the zonal mean zonal wind profile, as well as the proximity of the  
687 equatorward turning surface to the sponge. Thus, unlike the analytical solution, we are not able to  
688 *specify* the amount of leakage in a numerical model.

689 A rough estimate of the leakage of a waveguide can be obtained from the ratio between the  
690 amount of wave activity which leaks to the equator (the meridional EP flux out of the southern  
691 waveguide boundary) and the source of wave activity in the wave guide. While the former is  
692 relatively straightforward to deduce, the latter is much more complex, as it depends on the physical  
693 process that excites waves, as well as the model setup and parameters. Nonetheless, several  
694 studies have obtained a rough estimate of the equatorward leakage, using analytical normal-mode  
695 solutions (Tung 1979) or idealized numerical model setups (Lutsko and Held 2016; Wirth 2020).  
696 The latter studies used a localized wave source to estimate the ratio between the amount of wave  
697 activity propagating into or remaining in the zonal waveguide, and that propagating or reaching  
698 equatorwards. These studies, as well as estimates from our numerical model (not shown) give  
699 estimates of at least 25% wave activity leakage even from a strong jet. More specifically, in Wirth  
700 (2020), a jet similar to that in our numerical simulations was estimated to have a waveguidability  
701 of about 60% meaning a leakage of about 40% (see figure 6a in Wirth 2020, for a jet strength  
702 of  $25\text{ms}^{-1}$  and width of  $5^\circ$ ). This is smaller than our analytical-solution-based estimate of 70%,  
703 however, Wirth (2020) examined a jet on a sphere, and estimated the waveguidability using a  
704 forcing that is localized in longitude, thus, the reasons for this difference require further analysis.

705 Despite the limitations of the analytical solution, and the inability to explicitly calculate a leakage  
706 factor in realistic models and observations, the analytical solutions suggest that quite large leakage,  
707 in itself, does not preclude the possibility that quasi-resonant amplification can be significant  
708 enough to result in a significant impact on regional weather conditions. For example, assuming  
709 a damping rate of 8 days (which is quite strong for the upper troposphere), a change in the jet-  
710 waveguide which decreases the leakage from 100% to 50% can result in an amplification by about  
711 a factor of 2 at resonance, and by about 20% if the leakage changes from 50% to 25% (compare  
712 the peak values of the cyan - 100%, purple - 50%, and green - 25% curves in Fig. 6a). Also, even  
713 for a 75% leakage, the mid-channel amplitude can almost double if the zonal mean zonal wind

714 changes by a few meters per second (see the amount of amplification of the green curve between  
715  $U = 11ms^{-1}$  and  $U = 14.5ms^{-1}$  in Fig. 6a). While a doubling of the wave amplitude is very small  
716 in our model, in the real world it can be enough to cause extreme weather.

717 A main caveat in the relevance of our analysis to the real atmosphere is that the jet stream  
718 waveguide and the forcing are not zonally symmetric. In spite of this, circumglobal waves have been  
719 shown to dominate intra-seasonal variability (Branstator 2002). Kosaka et al. (2009) discussed the  
720 zonal structure and phasing of the circumglobal Northern Hemisphere summer Silk-Road pattern,  
721 and showed that the observed zonal phasing maximizes the energy conversion from the mean  
722 flow to the wave pattern, with the meridional energy conversion being most sensitive to the zonal  
723 phasing with respect to the zonal variations of the jet. The possibility of resonance playing a role in  
724 this sensitivity of the barotropic conversion remains to be studied. Circumglobal waves have been  
725 cited as the cause for the co-occurrence of extremes in several places around the globe (Davies  
726 2015). Often, however, we see amplified zonal wave-packets leading to extreme weather (e.g.  
727 Feldstein and Dayan 2008; Röthlisberger et al. 2016, 2019; Fragkoulidis et al. 2018; Sandler and  
728 Harnik 2020; Ali et al. 2021), with a tendency of the waves to amplify in a specific region with a  
729 specific zonal phasing leading to extended or recurrent extreme weather (e.g. Röthlisberger et al,  
730 2019; see figures 10, 12 in Fragkoulidis et al, 2018). Given that mathematically, wave packets are  
731 a superposition of a few close circumglobal modes, it remains to be examined if quasi-resonance  
732 of the carrier modes or the envelope modes can contribute to a zonally localized enhancement of  
733 waves.

734 *Acknowledgments.* The research leading to these results has been partly funded by the Transre-  
 735 gional Collaborative Research Center SFB/TRR 165 “Waves to Weather” of the German Research  
 736 Foundation (DFG), as well as the Israeli Science Foundation grants 1685/17 and 2466/23.

737 *Data availability statement.* The analysis was carried out solely based on the analytical solutions  
 738 presented here (using MATLAB), and numerical stationary-wave solutions which were produced  
 739 using software which is publicly available within the algorithm collection SciPy.

## 740 APPENDIX A

### 741 **The approximate meridional wavenumber for the damped solution (equation 6)**

742 .

743 Assuming weak damping, with a characteristic time scale much larger than the advective time  
 744 scale, so that  $\tilde{\alpha} \equiv \frac{\alpha}{kU} \ll 1$ , we can approximate the meridional wavenumber using a Taylor expansion  
 745 around the inviscid case:

$$\tilde{l} \approx \tilde{l}|_{\tilde{\alpha}=0} + \frac{\partial \tilde{l}}{\partial \tilde{\alpha}} \Big|_{\tilde{\alpha}=0} \tilde{\alpha} \quad (\text{A1})$$

746 Using equation 4:

$$\frac{\partial \tilde{l}}{\partial \tilde{\alpha}} = \frac{1}{2} \left( \frac{\beta}{U(1-i\tilde{\alpha})} - k^2 \right)^{-1/2} \frac{i\beta}{U(1-i\tilde{\alpha})^2} = \frac{i\beta}{2\tilde{l}U(1-i\tilde{\alpha})^2} \quad (\text{A2})$$

747 Plugging into A1, along with the definition of  $\tilde{\alpha}$ , noting that  $\tilde{l}(\alpha=0) = l$  (equation 5), and expressing  
 748 in terms of the group velocity,  $Cg_y = \frac{2kl\beta}{(k^2+l^2)^2} = \frac{2klU^2}{\beta}$ , we get equation 6.

## 749 APPENDIX B

### 750 **The boundary condition for a leaky waveguide**

751 Starting from the expression in equation 13 for  $G(y, y')$  at the southern part of the domain

$$G = B \left( |R| e^{i\tilde{l}(y+L)} - e^{-i\tilde{l}(y+L)} \right)$$

752 we get:

$$\frac{dG}{dy} = i\tilde{l}B \left( |R|e^{i\tilde{l}(y+L)} + e^{-i\tilde{l}(y+L)} \right)$$

753 At the southern boundary ( $y = -L$ ) we thus have:

$$G = B(|R| - 1)$$

754

$$\frac{dG}{dy} = i\tilde{l}B(|R| + 1)$$

755 which gives the boundary condition 14.

## 756 B1. The non-leaky cosine-forcing solution

757 Starting with the inviscid model, we derive the solution 17 by plugging the Green's Function  
758 solution 16 into equation 2, for  $h_k(y) = \cos(l_o y)$ .

$$\begin{aligned} \psi'(y) = \int_{-L}^L G_k(y, y') h_k(y') dy' = -\frac{f_o}{l \sin(2lL)} \times \\ \left( \int_{-L}^y \sin(l(y'+L)) \sin(l(y-L)) \cos(l_o y') dy' + \int_y^L \sin(l(y'-L)) \sin(l(y+L)) \cos(l_o y') dy' \right) \end{aligned} \quad (\text{B1})$$

759 Examining only the first integral, after taking out the factor  $\sin(l(y-L))$ , we further develop, using  
760 the cosine and sine multiplication and addition/subtraction equalities:

$$\begin{aligned} \int_{-L}^y \sin(l(y'+L)) \cos(l_o y') dy' = \\ -\frac{1}{2} \left( \frac{\cos((l(y+L)+l_o y) - l_o L) - \cos(l_o L)}{l+l_o} + \frac{\cos(l(y+L) - l_o y) - \cos(l_o L)}{l-l_o} \right) = \\ \frac{l}{l^2 - l_o^2} [\cos(l_o L) - \cos(l(y+L)) \cos(l_o y)] - \frac{l_o}{l^2 - l_o^2} \sin(l(y+L)) \sin(l_o y) \end{aligned} \quad (\text{B2})$$

761 repeating this derivation for the second integral in equation B1, plugging both back into B1, we find  
 762 that the sine terms at the end of equation B2 cancel out in equation B1 between the two integrals,  
 763 and doing further manipulation on the remaining terms, we get solution 17.

764 For the damped case, we can guess the solution to equation 3, by analogy with the inviscid  
 765 solution 17, and noting that the following relation holds:

$$(\tilde{l}^2 - l_o^2) \left(1 - \frac{i\alpha}{kU}\right) = \frac{\beta}{U} - (k^2 + l_o^2) + \frac{i\alpha}{kU} (k^2 + l_o^2) \quad (\text{B3})$$

766 where we used the full definition of  $\tilde{l}^2$  (Equation 4), and not its approximation (Equation 6). The  
 767 solution can then be verified by plugging into equation 3.

## 768 APPENDIX C

### 769 The EKE equation

770 Starting from the PV equation (c.f. equation 1):

$$\frac{\partial q'}{\partial t} + \bar{u} \frac{\partial q'}{\partial x} + v' \bar{q}'_y + \alpha q' = -f_o \bar{u} \frac{\partial h}{\partial x} \quad (\text{C1})$$

771 multiplying by  $\psi'$  and taking a zonal mean we get:

$$\bar{u} \overline{v'q'} - \alpha \overline{\psi'q'} = -f_o \bar{u} \overline{v'h} \quad (\text{C2})$$

772 where overline denotes the zonal mean, and we used the relation between the streamfunction and  
 773 the meridional velocity,  $v' = \frac{\partial \psi'}{\partial x}$ , and the fact that the zonal derivative of the zonal mean is zero,  
 774 and that  $\overline{\psi'v'} = 0$ , and we assumed a steady state.

775 Next, we integrate over the entire meridional domain. We first note the following relation:

$$\begin{aligned} \int_{-L}^L \psi' q' dy &= \int_{-L}^L \overline{\psi' \left( \frac{\partial v'}{\partial x} - \frac{\partial u'}{\partial y} \right)} dy = \int_{-L}^L \left( \overline{-v' \frac{\partial \psi'}{\partial x}} - \frac{\partial \overline{\psi' u'}}{\partial y} + \overline{u' \frac{\partial \psi'}{\partial y}} \right) dy = \\ &= \int_{-L}^L \left( \overline{v'^2} + \overline{u'^2} + \frac{\partial \overline{\psi' u'}}{\partial y} \right) dy = 2EKE + \overline{\psi' u'}|_{-L} \quad (\text{C3}) \end{aligned}$$

776 where  $EKE \equiv \frac{1}{2}(\overline{v'^2} + \overline{u'^2})$ , and we used the boundary condition  $\psi'(L) = 0$ . Next noting that  
 777  $\overline{v'q'} = -\frac{\partial \overline{u'v'}}{\partial y}$ , thus

$$\int_{-L}^L \overline{v'q'} dy = \overline{u'v'}|_{-L}$$

778 where we use the boundary condition  $v'(L) = 0$ . Plugging this, and equation C3 into equation C2,  
 779 we get equation 22.

## 780 APPENDIX D

### 781 Design of the sponge

782 The sponge is modeled through a latitude-dependent damping coefficient  $\alpha_{sp}(y)$  such that the  
 783 total damping coefficient is given by

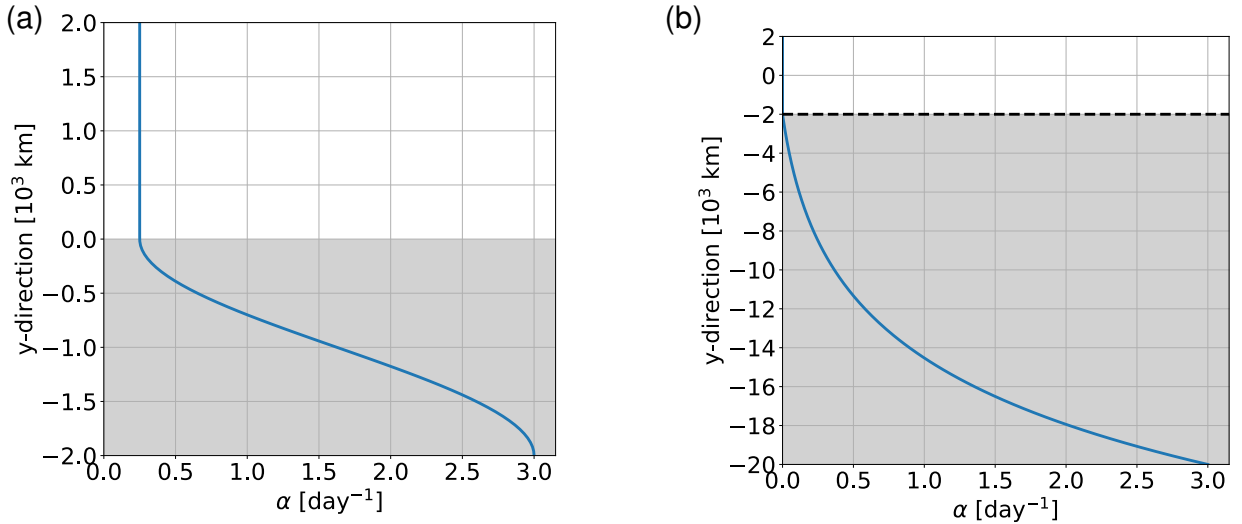
$$\alpha(y) = \alpha_0 + \alpha_{sp}(y) . \quad (D1)$$

784 Here,  $\alpha_0$  is a constant damping coefficient that may be considered in addition to the sponge. In the  
 785 northern part of the channel we define a sponge-free area for  $Y_S \leq y \leq Y_N$ . South of  $Y_S$  the value of  
 786  $\alpha_{sp}$  increases from  $\alpha_0$  to a user-specified value of  $\alpha_s$  at the southern boundary of the computational  
 787 domain.

788 In this work,  $\alpha_{sp}(y)$  is modeled either through a cosine-dependence or through a quasi-exponential  
 789 dependence. The cosine-sponge is used in order to systematically explore the impact of the sponge  
 790 on the resonant behavior. Based on experience thus obtained, we then designed a quasi-exponential  
 791 sponge, which is used for our main results in order to efficiently simulate a non-reflecting (i.e.,  
 792 fully transparent) southern boundary of a notional channel that is less extended than the actual  
 793 computational domain.

794 The cosine-like sponge simply connects the constant value  $\alpha_0$  in the sponge-free part of the  
 795 domain with  $\alpha_s$  at the boundary of the computational domain as illustrated in Fig. D1a.

796 The quasi-exponential sponge (see Fig. D1b) is specified such that  $\alpha(y) = \alpha_s$  at the southern  
 797 boundary of the computational domain. Obviously, the exponential function is non-zero for any  
 798 real value of  $y$ , which implies that there cannot be any sponge-free area. In order to circumvent  
 799 this issue and guarantee a truly sponge-free area in the northern part of the domain, we use the



813 FIG. D1. Profiles of the damping coefficient  $\alpha(y)$  for two different configurations that implement a sponge: (a)  
 814 cosine-like sponge, and (b) a quasi-exponential sponge. For illustration we used here  $\alpha_0 = 0.25 \text{ day}^{-1}$  in panel  
 815 (a),  $\alpha_0 = 0$  in panel (b), and  $\alpha_s = 3 \text{ day}^{-1}$  in both panels. In addition, in both panels, the sponge-free area is white,  
 816 while the sponge is marked by gray shading. The dashed line in panel b indicates the southern boundary of the  
 817 notional channel, for which the sponge effectively simulates a fully-leaking boundary condition.

800 following algorithm resulting in a sponge that is close to exponential for typical values of  $\alpha_0$  and  
 801  $\alpha_s$  used in this work:

- 802 1. We start with a true exponential function  $\alpha_{\text{sp}}(y) = a \exp(by)$  and determine the coefficients  $a$   
 803 and  $b$  such that  $\alpha_{\text{sp}}$  equals  $\alpha_{\text{sm}} = 0.1 \text{ day}^{-1}$  at the southern boundary of the sponge-free region,  
 804 and  $\alpha_{\text{sp}} = \alpha_s$  at the southern boundary of the computational domain;
- 805 2. We subtract  $\alpha_{\text{sm}}$  from the above-defined profile  $\alpha_{\text{sp}}(y)$  such that the damping of the sponge  
 806 approaches zero as  $y$  approaches the sponge-free area
- 807 3. We multiply the function  $\alpha_{\text{sp}}(y)$  obtained in the previous step by a constant factor such as to  
 808 ensure that the total  $\alpha(y)$  equals  $\alpha_s$  at the southern boundary of the computational domain.

809 The design of the exponential sponge is such that the sponge-free area is meant to represent the  
 810 domain of interest. At the same time, the computational domain is extended towards the south in  
 811 order to contain the exponential sponge. This combination effectively simulates a fully-leaking  
 812 boundary condition at the southern end of the domain of interest (dashed line in Fig. D1b).

818 This shows how to enter the commands for making a bibliography using BibTeX. It uses refer-  
819 ences.bib and the ametsocV6.bst file for the style.

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